

# LexisNexis Lex Machina

## 用户手册

(2022年9月修订)

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## I. 登录

### 1. 登录

从以下 URL 登录到 Lex Machina: <https://law.lexmachina.com/login>



Lex Machina®

Email

xxx@xxxxxx.com

Next

Forgot your email?

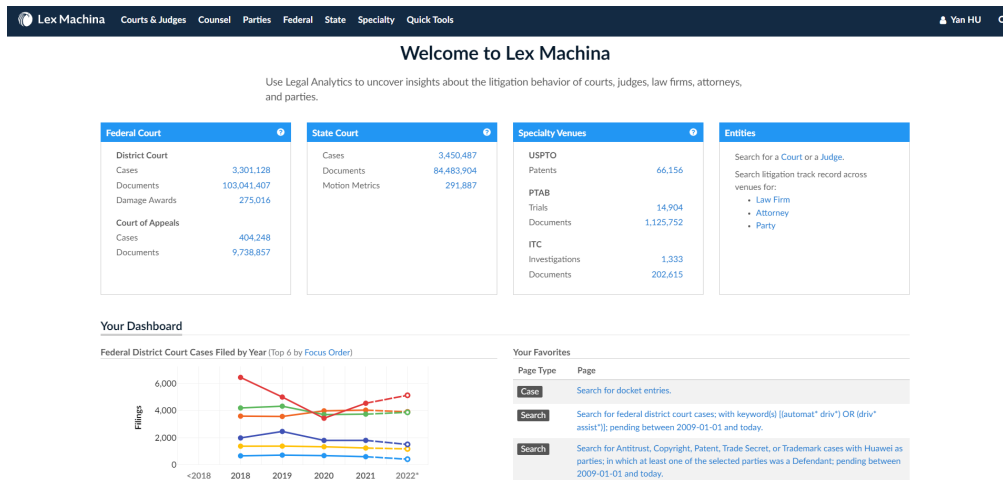
Not your computer? Use a Private Window to log in.

输入签订合同时注册的电子邮箱和密码（点击“Next”后输入），然后单击“登录”。

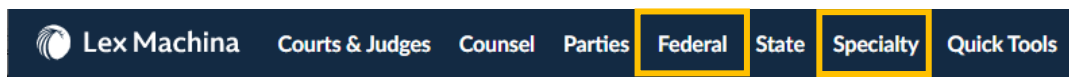
※如果丢失密码，请单击“登录”按钮下方的“Forgot your password?”，然后按照步骤进行操作。

## 2. 主页面

登录后显示如下图的主页面，包含数据库中各种信息的链接。



您可以通过单击页面上方的“Federal”和“Specialty”菜单来访问以下信息。



菜单名	可获取的信息
Federal	联邦法院诉讼（点击以后，详情见下） District Court: 联邦地区法院 • Cases: 诉讼一览 • Documents: 案卷一览 • Damage Awards: 赔偿金一览

	<b>Appeals Court: 上诉法院</b> <ul style="list-style-type: none"> <li>Cases: 诉讼一览</li> <li>Documents: 案卷一览</li> </ul>
Specialty	<u>涉诉专利、PTAB 专利无效请求、ITC 调查</u> (点击以后, 详情见下) <b>Patents: 涉诉专利一览</b> <b>PTAB - Trials: 无效请求一览、 PTAB - Documents: 案卷一览</b> <b>ITC – Investigations: 调查一览、 ITC- Documents: 案卷一览</b>

※单击菜单栏最左侧的 Lex Machina 标识, 可回到主页面。

## II. 对产业或技术领域进行诉讼分析

### 1. 诉讼信息的关键字检索和分析页面

#### (1) 检索方法

分类	菜单	检索对象
Federal	Federal Court ⇒ District Court - Cases	联邦地区法院诉讼
	Federal Court ⇒ Appeals Court - Cases	上诉法院诉讼
Specialty	Specialty Venues ⇒ PTAB - Trials	PTAB无效请求
	Specialty Venues ⇒ ITC - Investigations	ITC调查

从页面上的菜单栏选择分类之后, 参考上表访问页面中的菜单。

在左侧搜索栏中输入关键字, 点击“Apply”进行检索。

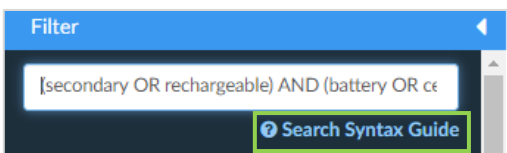
例如: (secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)

※布尔逻辑式AND, OR, NOT必须使用大写; 如两个关键字之间没有布尔逻辑式, 则默认为AND。

#### 【例如】检索联邦地区法院诉讼时

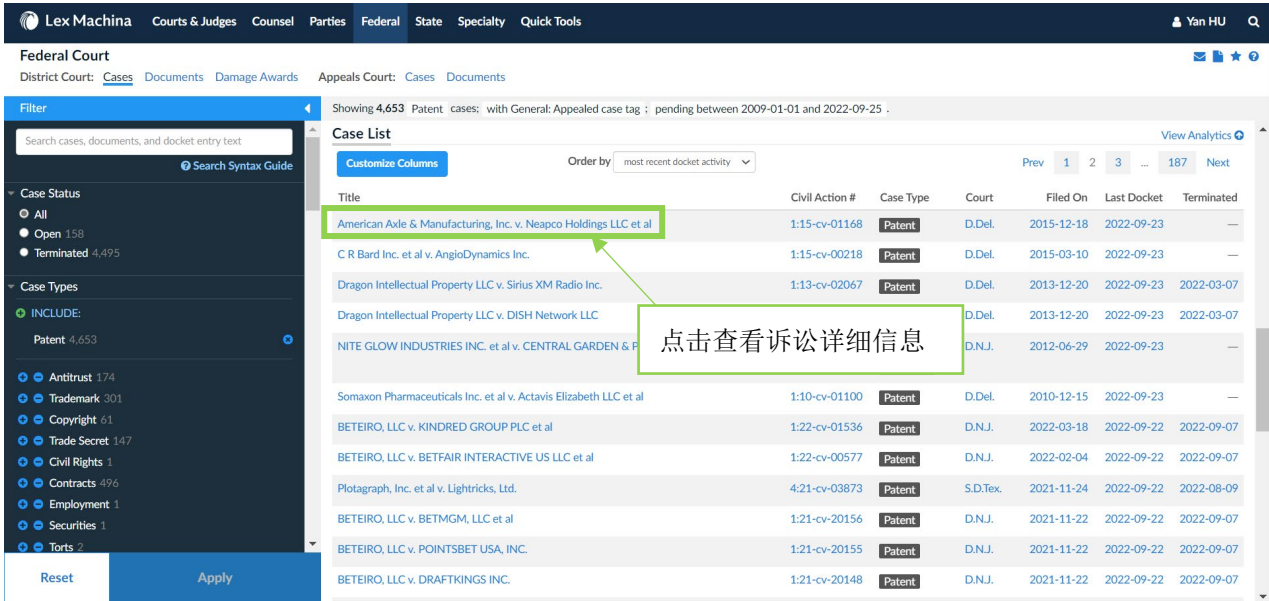
① 输入关键字

② 点击



※可点击搜索栏下方的“Search Syntax Guide”查看检索规则, 如检索运算符、通配符等相关内容。

在“Case List”中，点击每件诉讼的Title可查看诉讼详细信息。



在诉讼详细信息页面中可查看每家联邦地区法院贯穿至终审结果的数据，包括Case Resolution（结案情况）、Damages（损害赔偿判决）、Remedies（法律救济）、法院认定。

#### Case Resolution

2009-02-11 (#110)

Claimant Defendant Win

结案：已更新

#### Damages

Docket	Amount	Damage Type	Judgment Source	Patent	Against	Awarded To
2011-02-16 (#235)	\$756,487.56	Attorneys' Fees / Costs Reversed by 2012-08-27 (#275)	Judgment on Merits	5780087 5939122		
2011-02-16 (#235)	\$100,000.00	Enhanced Damages (Patent) Reversed by 2012-08-27 (#275)	Judgment on Merits	5780087 5939122		
2010-11-17 (#225)	\$50,000.00	Reasonable Royalty Reversed by 2012-08-27 (#275)	Jury Verdict	5780087 5939122		

#### 损害赔偿和法院认定

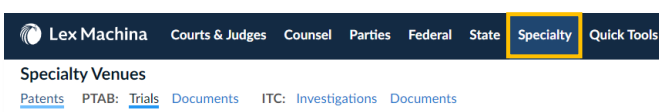
- 保留所有联邦地区法院信息
- 添加以红色显示的案件撤销通知
- 可点击查看说明撤销情况的原始文件

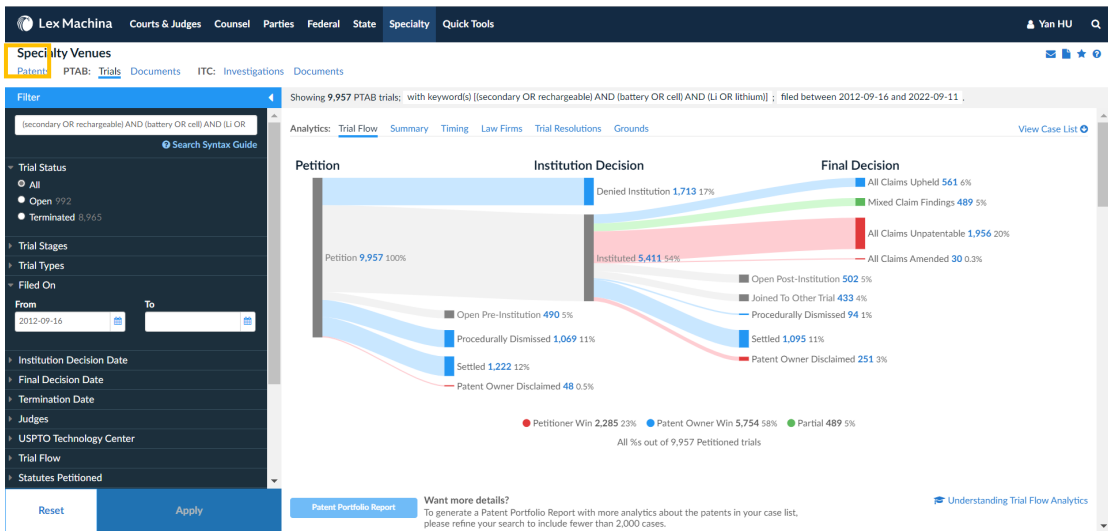
#### Patent Findings

Docket	Patents	Patent Finding	Judgment Event	Against	Awarded To
2010-11-17 (#225)	5780087 5939122	No Invalidity Reversed by 2012-08-27 (#275)	Trial	Bodum Corporation (U.S.) Meyer Intellectual Properties Limited	
2009-12-15 (#144)	5939122	Infringement Reversed by 2012-08-27 (#275)	Summary Judgment	Bodum USA, Inc.	Meyer Corporation (U.S.) Meyer Intellectual Properties Limited
2009-02-11 (#110)	5780087 5939122	Infringement Reversed by 2012-08-27 (#275)	Summary Judgment	Bodum USA, Inc.	Meyer Corporation (U.S.) Meyer Intellectual Properties Limited
2014-04-07 (#323)	5780087 5939122	Invalidity: 103 Obviousness	Summary Judgment	Meyer Corporation (U.S.) Meyer Intellectual Properties Limited	Bodum USA, Inc.

法院认定：添加上诉后判决结果

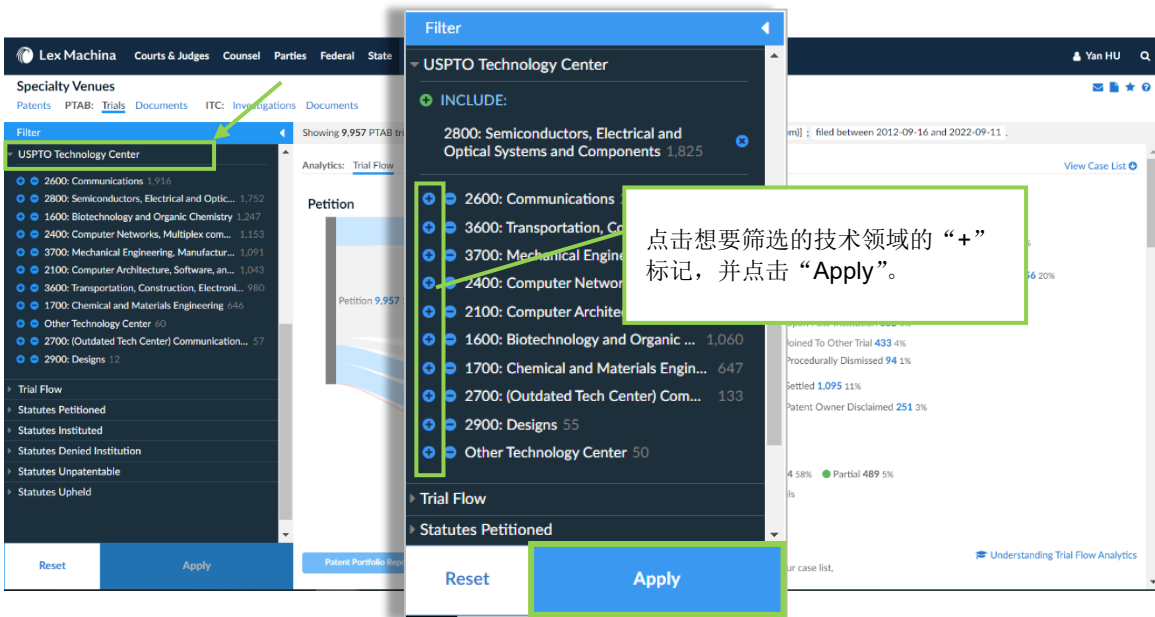
【例如】检索无效请求时





(2) 利用USPTO Technology Center信息查看PTAB专利无效请求

点击Specialty中的PTAB - Trials后，默认显示自PTAB成立以来所有美国无效请求信息的整体分析。可以利用页面左侧筛选功能中的“USPTO Technology Center”限定到特定技术领域的无效请求。



(3) 诉讼和无效请求信息的查看

查看诉讼信息时点击Federal - Federal Court - District Court - Case。查看无效请求信息时点击Specialty – Specialty Venues – PTAB – Trials。

有关诉讼、专利无效请求分析页面的详细内容，请参见第三章“以特定企业为对象的分析”的第二节“分析页面”（第13页）。

(4) 查看ITC调查信息

点击Specialty中的ITC - Investigations。默认显示收录的全部ITC调查，需查找特定主题的调查时，可按照(1)中的操作步骤，在左侧的搜索栏中输入关键字后，点击“Apply”进行筛选。另外，如需限定调查类型

为专利、商标或外观设计时，利用左侧的“Investigation Types”进行筛选。

Specialty Venues  
Patents PTAB: Trials Documents ITC: **Investigations** Documents

Filter  
(secondary OR rechargeable) AND (batt  
Search Syntax Guide

Investigation Status  
Investigation Types  
+ Patent 669  
+ Trademark 37  
+ Copyright 9

Notice Date  
Termination Date  
Dispositions

Reset Apply

Showing 706 ITC investigations; with keyword(s) [(secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)]; with notice date between 1972-04-04 and 2022-09-12 .

Case List  
Order by: textual relevance  
Prev 1 2 3 4 ... 29 Next

Investigation	Investigation Number	Judge	Notice	Termination
Limited-Charge Cell Culture Microcarriers	337-TA-129	Duvall	1982-08-25	—
Certain Smart Thermostats, Load Control Switches and Components Thereof; Inv. No. 337-TA-1277 (Violation)	337-TA-1277	Shaw Cheney	2021-09-02	—
Papermaking Paper	337-TA-147	Mathias Saxon	1983-04-11	—
Diagnostic Reagents	337-TA-389	Harris	1996-07-29	1997-08-11
Recombinant Proteins	337-TA-281	Harris	1988-02-10	—
Neodymium-Iron-Boron Magnets, Magnet Alloys, and Articles Containing the	337-TA-372	Luckern	1995-03-09	1996-03-29

仅限专利时点击“Patent”的“+”标记，并点击“Apply”。

如需查看调查案件的详细情况，请点击检索结果列表中显示的调查标题打开单个案件的详情页面。

Lex Machina Courts & Judges Counsel Parties Federal State Specialty Quick Tools Yan HU Q

Certain Electrical Connectors and Cages, Components, And Products Containing the Same Thereof; Inv. No. 337-TA-1241 337-TA-1241

Overview  
Investigation Type: Patent  
Status: Terminated  
Administrative Law Judge: Charles E. Bullock, Cheney, Bhattacharyya

Parties  
Complainant: Amphenol Corp.

Patents  
10381767 High performance cable connector  
7371117 High speed, high density electrical connecto

Case List  
Order by: most recent termination  
Prev 1 2 3 4 ... 29 Next

Investigation	Investigation Number	Judge	Notice	Termination
Certain Plant-Derived Recombinant Human Serum Albumins ("rHSA") and Products Containing Same; Inv. No. 337-TA-1238	337-TA-1238	McNamara	2021-01-25	2022-09-12
Certain Electrical Connectors and Cages, Components, And Products Containing the Same Thereof; Inv. No. 337-TA-1241	337-TA-1241	Bhattacharyya, Cheney, Bullock	2021-01-26	2022-09-08
Certain Portable Battery Jump Starters & Components Thereof; Inv. No. 337-TA-1256	337-TA-1256	Shaw, Cheney	2021-03-23	2022-08-29
Certain Barcode Scanners, Mobile Computers with Barcode Scanning Capabilities, Scan Engines, RFID Printers, Components Thereof, and Products Containing the Same; Inv. No. 337-TA-1306 (Violation)	337-TA-1306	mcmamara	2022-03-11	2022-08-05
Certain Barcode Scanners, Mobile Computers With Barcode Scanning Capabilities, Scan Engines, Components Thereof, and Products Containing the Same; Inv. No. 337-TA-1307 (Violation)	337-TA-1307	Elliot	2022-03-15	2022-08-05
Certain Integrated Circuits and Products Containing Same; Inv. No. 337-TA-1272	337-TA-1272	Elliot	2021-07-29	2022-08-04
Certain Networking Devices, Computers, and Components Thereof and Systems Containing the Same; Inv. No. 337-TA-1298 (Violation)	337-TA-1298	Cheney	2022-02-18	2022-08-04

【ITC调查案件的详情页面】

<b>Overview</b>	概要
<b>Parties</b>	与调查相关联的当事人信息
<b>Patents</b>	与调查相关联的专利一览表
<b>Documents</b>	调查的所有案卷，可点击获取单个文件

## 2. 专利信息的关键字检索和分析页面

### (1) 检索

点击页面上的菜单栏Specialty，并选择页面中的Patents。可输入美国专利号或关键字进行检索。

关键字检索：以涉诉专利数据为对象检索（检索Title, Abstract, Description）。输入检索式后点击“Apply”，提取出专利列表。

例如：(secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)



The screenshot shows the Lex Machina Specialty Venues interface. The search filter is set to "secondary OR rechargeable) AND (battery OR cell) ANC". The analytics dashboard shows "Showing 66,041 litigated patents." and a table of "Patents by Litigated Cases" with columns for cases, count, and percentage. A "Patent List" section is visible below, showing a list of patents with a "Patent List" button highlighted in orange.

输入关键字

点击

专利列表

※检索式的逻辑运算符AND, OR, NOT必须使用大写。可点击搜索栏下方的“Search Syntax Guide”查看检索规则, 如检索运算符、通配符等相关内容。

This close-up shows the search filter input field containing the text "[secondary OR rechargeable) AND (battery OR cell) ANC". Below the input field is a link labeled "Search Syntax Guide" with a magnifying glass icon.

### 【专利信息的查看】

点击页面下方显示的专利列表中的专利号后, 显示该美国专利的详情页面。

The screenshot shows the detail page for patent 6677082, titled "Lithium metal oxide electrodes for lithium cells and batteries". The page includes an abstract, a patent description, and a table of litigation cases. A callout box points to the "Total cases" link, which is annotated with "点击此处, 可直接查看诉讼、PTAB 无效请求以及ITC的分析页面".

### <专利信息的画面>

除了记载专利信息的“Summary”之外, 还有该专利的诉讼、无效请求以及ITC等相关信息的菜单。另外, 医药专利还可以查看Orange Book (橙皮书) 信息。

### 【Summary】

能够查看专利号、摘要、发明人、原始申请人等著录项目信息, 以及类似的诉讼专利。

This screenshot shows the "Summary" page for patent 6,677,082. The page includes a navigation bar with tabs for "Summary", "District Court Cases (2)", "PTAB Trials (2)", "ITC Investigations (1)", "Damages (0)", "Remedies (1)", "Findings (3)", and "Orange Book (0)". The main content area includes the abstract, inventors (Amine Khalil, Johnson Christopher S, Kim Jaekook, Thackeray Michael M), original assignee (Univ Chicago), filing date (2001-06-21), issue date (2004-01-13), and a list of similar patents.

## 【Orange Book】

可以查看与该专利相关联的Orange Book信息，该信息来自于FDA。

Product	Type	Application Approved	Strength	Dosage	Active Ingredient	Applicant	Patent Expiration <sup>1</sup>	Litigated Patents <sup>2</sup>	Unlitigated Patents <sup>2</sup>
TECFIDERA	RX	2013-03-27	120mg	capsule, delayed release; oral	dimethyl fumarate	BIOGEN IDEC INC	2028-02-07	6509376 7320999 7619001 7803840 8759393	8524773
TECFIDERA	RX	2013-03-27	240mg	capsule, delayed release; oral	dimethyl fumarate	BIOGEN IDEC INC	2028-02-07	6509376 7320999 7619001 7803840 8759393	8524773

※1 Patent Expiration是当前页面所查看的专利的专利到期日。

※2 Litigated Patents及Unlitigated Patents是指除了当前页面所查看的专利以外，FDA同一药品申请中列出的其他专利。Litigated Patents是指在Lex Machina有涉诉记录（包括联邦地区法院、PTAB无效请求或ITC）的专利。

其他菜单	说明
District Court Cases	显示与该专利相关的诉讼分析页面。有关该页面的详细内容，参见 III.2 分析页面（第13页）。
PTAB Trials	
ITC Investigations	参见 II.1.（4）查看ITC调查信息（第6页）。
Damages	参见 II.2.（2）Patent Portfolio Report（第11页）。
Remedies	
Findings	

→一次性获得检索结果中显示的多个专利号的诉讼、PTAB无效请求以及ITC的一览表时，请点击Patents下方的“Patent Portfolio Report”。

※最多可同时生成1000件专利的 Patent Portfolio Report。

Showing 301 litigated patents: with keyword(s) [(secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)] ; with FDA Orange Book tag .

Analytics: Summary

Patents by Litigated Cases

Cases	Count	Percentage
1 case	63	21%
2 cases	50	17%
3 cases	44	15%
4 cases	13	4%
> 4 cases	131	44%

Patents by Type

Type	Count	Percentage
Utility	292	97%
Reissued	9	3%

Want more details?  
For every patent asserted in this list, see litigation statistics about those patents from all cases in Lex Machina.

Patent List

Order by: textual relevance

6248775 .alpha.- and .beta.-amino acid hydroxyethylamino sulfonamides useful as retroviral protease inhibitors

Total cases: 7 District Court / 0 PTAB / 0 ITC

Abstract  
.alpha.- and .beta.-amino acid hydroxyethylamino sulfonamide compounds are effective as retroviral protease inhibitors, and in particular as inhibitors of HIV protease.

In Patent Description:  
alkyl, alkoxy, hydroxy, oxo, aryl, aralkyl and the like, and/or on a secondary nitrogen atom (i.e., --NH--) by hydroxy, alkyl, aralkoxycarbonyl, alkanoyl

## (2) Patent Portfolio Report

### 【Summary】

各专利相关的诉讼、PTAB无效请求和ITC案件的数量，以及法院判决、赔偿金等项目皆可在此查看。可通过各栏位的▼ ▲ 进行升序/降序排列。

**Patent Portfolio Report** (10004746, 10016435, 10040872, and 298 more)  
Showing statistics from all of Lex Machina's Patent cases for 301 patents from the Search for litigated patents; with keyword(s) [(secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)]; with FDA Orange Book tag.

Overview District Court Cases PTAB Trials ITC Investigations Damages Remedies Findings

Patent	Title	Cases			District Court Findings						
		District Court	PTAB	ITC	Infringement		Invalidity		Unenforceability		Damages
					Yes	No	Yes	No	Yes	No	
5532415	R-enantiomer of N-propargyl-1-aminoindan, salts, compositions and uses thereof	1	0	0	0	0	0	0	0	0	
5585397	Sulfonamide inhibitors of aspartyl protease	1	0	0	0	0	0	0	0	0	
5661151	Tetrahydrofuran antifungals	4	0	0	0	0	0	2	0	0	
5691336	Morpholine compounds are prodrugs useful as tachykinin receptor antagonists	6	1	0	2	0	0	3	0	2	
5703079	Tetrahydrofuran antifungals	1	0	0	0	0	0	0	0	0	
5716942	Treatment of migraine with morpholine tachykinin receptor antagonists	2	0	0	0	0	0	0	0	0	

### 【District Court Cases】 【PTAB Trials】 【ITC Investigations】

可通过上述选项卡查看联邦地区法院诉讼、PTAB无效请求和ITC调查的分析页面。关于分析的详细内容，参见 III.2 分析页面（第13页）。

### 【Damages】

此选项卡列举了有关涉诉专利的联邦地区法院判决赔偿金额。如需查看详细信息，可点击Case栏中的案件名称跳转至详情页面。

**Patent Portfolio Report** (10010611, 10011654, 10021486, and 874 more)  
Showing statistics from all of Lex Machina's Patent cases for 877 patents from the Search for litigated patents; with keyword(s) [(secondary OR rechargeable) AND (battery OR cell) AND (Li OR lithium)]; with FDA Orange Book tag.

Overview District Court Cases PTAB Trials ITC Investigations Damages Remedies Findings Parties Involved

District Court Damages

Docket	Amount	Damage Type	Judgment Source	Patent	Against	Awarded To	Case
2013-03-01 (#2271)	\$598,908,892.00	Other / Mixed Damage Types	Judgment on Merits	<a href="#">7469381</a> <a href="#">7844915</a> <a href="#">7864163</a> <a href="#">D593087</a> <a href="#">D604305</a> <a href="#">D618677</a>	Samsung Electronics Co., Ltd.	Apple Inc.	Apple Inc. v. Samsung Electronics Co. Ltd. et al N.D.Cal. 5:11-cv-01846
2013-11-21 (#2822)	\$290,456,793.00	Other / Mixed Damage Types	Jury Verdict	<a href="#">7469381</a> <a href="#">7844915</a> <a href="#">7864163</a> <a href="#">D593087</a> <a href="#">D604305</a> <a href="#">D618677</a>	Samsung Electronics Co., Ltd.	Apple Inc.	Apple Inc. v. Samsung Electronics Co. Ltd. et al N.D.Cal. 5:11-cv-01846
2006-05-04 (#346)	\$65,183,533.47	Reasonable Royalty	Jury Verdict	<a href="#">6410516</a>	Eli Lilly & Co	Ariad Pharmaceuticals, Inc. Massachusetts Institute of Technology President and Fellows of Harvard College Whitehead Institute for Biomedical Research	Ariad Pharmaceutical, et al v. Eli Lilly & CO. D.Mass. 1:02-cv-11280
2012-11-01 (#476)			Verdict		Applera Corporation	Enzo Biochem, Inc. Enzo Life Sciences Inc. Yale University	Enzo Biochem, Inc. et al v. Applera Corp. et al D.Conn. 3:04-cv-00929
2013-04-29 (#2316)	\$40,494,356.00	Other / Mixed Damage Types	Jury Verdict	<a href="#">7469381</a> <a href="#">7844915</a> <a href="#">7864163</a> <a href="#">D593087</a>	Samsung Electronics Co., Ltd.	Apple Inc.	Apple Inc. v. Samsung Electronics Co. Ltd. et al

如需查看或下载具体案卷的PDF，请点击此处\*。

显示指定案件的详情页面。

\*PDF的具体提取方法，参见 V. 查看和提取案卷(DOCKET)（第30页）。

### 【Remedies】

列举了法院发出的救济措施，包括永久禁令、临时禁令和诉讼保全。

**Patent Portfolio Report** (10010611, 10011654, 10021486, and 874 more)  
Showing statistics from all of Lex Machina's Patent cases for 877 patents from the Search for litigated patents; with keyword(s) [Secondary OR rechargeable] AND (battery OR cell) AND (Li OR lithium); not with FDA Orange Book tag.

Overview District Court Cases PTAB Trials ITC Investigations Damages Remedies Findings Parties Involved

### District Court Remedies

Docket #	Remedy Type #	Judgment Source #	Patent #	Against #	Awarded To #	Case #
2019-11-18 (#77)	Permanent Injunction: Grant	Consent Judgment	8552562 9042420 9966496	8633468 9801965	Bolb, Inc. Quantum Egg, Inc.	Sensor Electronic Technology, Inc. Sensor Electronic Technology, Inc. v. Bolb, Inc. et al N.D.Cal. 5:18-cv-05194
2019-11-07 (#51)	Temporary Restraining Order: Deny	Judgment on Merits	6432586 8E47520	6692867	Celgard, LLC	Shenzhen Senior Technology Material Co. Ltd. (US Research Institute) Celgard, LLC v. Shenzhen Senior Technology Material Co. Ltd. (US Research Institute et al N.D.Cal. 4:19-cv-05784
2019-07-30 (#28)	Permanent Injunction: Grant	Default Judgment	10021486	John Doe DBA "pVendor" Shenzhen Haofeng Plastic Electronics Co., Ltd.	Ninety7, Inc.	Ninety7, Inc. v. Shenzhen Haofeng Plastic Electronics Co., Ltd. et al N.D.Ill. 1:18-cv-04825
2019-07-24 (#568)	Permanent Injunction: Grant	Judgment on Merits	8304193 8889083	8329407	10X Genomics, Inc.	Bio-Rad Laboratories, Inc. et al v. 10X Genomics Inc. D.Del. 1:15-cv-00152
2019-05-15 (#49)	Permanent Injunction: Grant	Consent Judgment	8618076 9284342	9206217 9340568	INC Research, LLC Natco Pharma Ltd. Natco Pharma, Inc.	Gilead Pharmasset LLC Gilead Sciences, Inc. GILEAD SCIENCES, INC. et al v. NATCO PHARMA LIMITED D.N.J. 3:18-cv-03592
2019-04-23 (#24)	Preliminary Injunction: Grant	Judgment on Merits	8850716 8869429	8869428 9101177	The Heat Factory USA, Inc.	Schawbel Technologies, LLC Heat Factory USA, Inc. v. Schawbel Technologies, LLC et al S.D.Cal. 3:18-cv-01841

## 【Findings】

可查看有关涉诉专利的法院审判结果及其作出的诉讼阶段。

**Patent Portfolio Report** (10010611, 10011654, 10021486, and 874 more)  
Showing statistics from all of Lex Machina's Patent cases for 877 patents from the Search for litigated patents; with keyword(s) [Secondary OR rechargeable] AND (battery OR cell) AND (Li OR lithium); not with FDA Orange Book tag.

Overview District Court Cases PTAB Trials ITC Investigations Damages Remedies Findings Parties Involved

### District Court Findings

Docket #	Patents #	Patent Finding #	Judgment Event #	Case #
2019-09-20 (#166)	8628921	Invalidity: 102 Anticipation / Novelty	Summary Judgment	Ni-Q, LLC v. Prolecta Bioscience, Inc. D.Or. 3:17-cv-00934
2019-07-30 (#28)	10021486	No Invalidity	Default Judgment	Ninety7, Inc. v. Shenzhen Haofeng Plastic Electronics Co., Ltd. et al N.D.Ill. 1:18-cv-04825
2019-07-30 (#28)	10021486	Infringement	Default Judgment	Ninety7, Inc. v. Shenzhen Haofeng Plastic Electronics Co., Ltd. et al N.D.Ill. 1:18-cv-04825
2019-05-09 (#524)	9678193	No Infringement	Summary Judgment	Space Data Corporation v. Alphabet Inc., Google LLC, and Loon LLC N.D.Cal. 5:16-cv-03260
2019-05-09 (#74)	9750284	No Invalidity	Trial	Lubby Holdings LLC et al v. Henry Chung et al C.D.Cal. 2:18-cv-00715
2019-05-09 (#74)	9750284	Infringement	Trial	Lubby Holdings LLC et al v. Henry Chung et al C.D.Cal. 2:18-cv-00715
2019-02-13 (#149)	8628921	Invalidity: 101 Subject Matter	Summary Judgment	Ni-Q, LLC v. Prolecta Bioscience, Inc. D.Or. 3:17-cv-00934
2019-02-08 (#271)	8073931	No Invalidity	Trial	Alarm.com, Inc., et al v. SecureNet Technologies LLC D.Del. 1:15-cv-00807
2019-02-08 (#271)	8073931 8473619 8478864	No Infringement	Trial	Alarm.com, Inc., et al v. SecureNet Technologies LLC D.Del. 1:15-cv-00807

## III. 以特定企业为对象的分析

### 1. 检索

点击“Parties”输入当事人名称，点击检索。在列出的企业名称中进行勾选，然后点击“View Litigation and Analytics”进入分析页面。

例如：检索 Samsung SDI Co., Ltd. 及其美国子公司 Samsung SDI America, Inc. 为当事方的案件。

Lex Machina Courts & Judges Counsel Parties Federal State Specialty Quick Tools Yan HU

Party Group Editor

Add Parties

samsung sdi

Selected Parties

View Litigation and Analytics

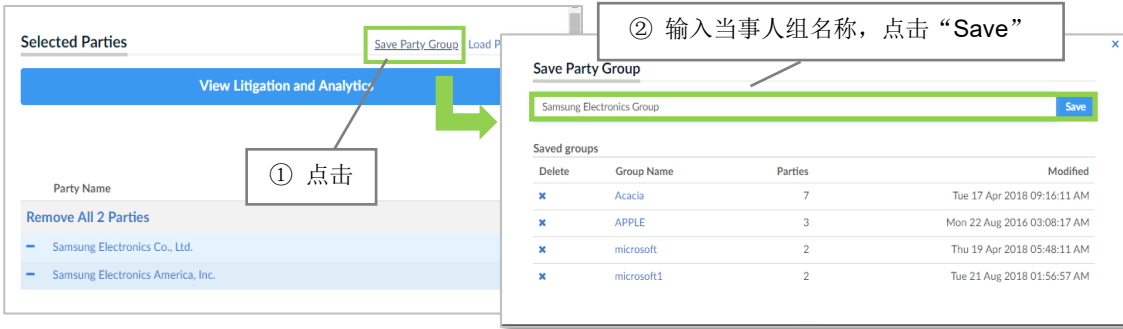
Party Name	Cases*
Add All 14 Parties	
→ Samsung SDI Co., Ltd.	363
→ Samsung SDI America, Inc.	346
+ Samsung SDI (Malaysia) SDN BHD	78
+ Shenzhen Samsung SDI Co. LTD.	44
+ Tianjin Samsung SDI Co., Ltd.	79
+ Samsung SDI Brasil LTDA	76
+ Samsung SDI Mexico S.A. (de C.V.)	79
+ Samsung SDI Chusik Hoesa	12
+ Shenzhen Samsung SDI Co., Ltd.	35
+ Samsung SDI (Hong Kong), Ltd.	3

Party Name	Cases*
Remove All 2 Parties	
→ Samsung SDI Co., Ltd.	363
→ Samsung SDI America, Inc.	346

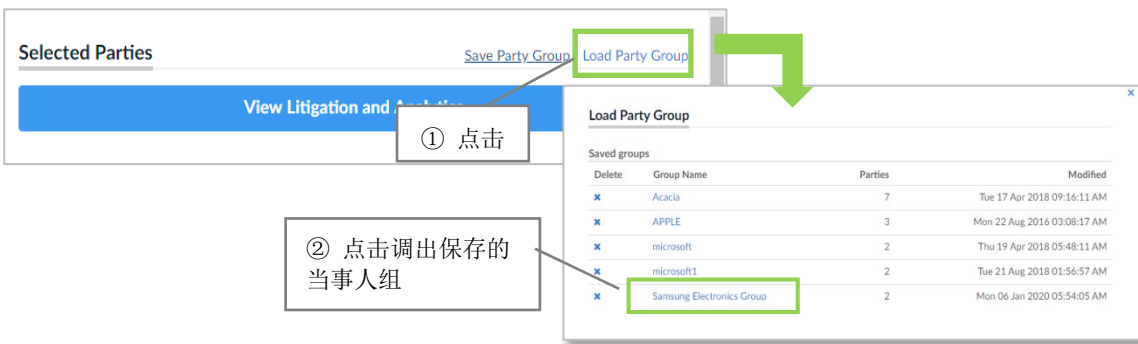
点击“View Litigation and Analytics”后显示分析页面。

**【将多家公司保存为“Party Group”（当事人组）】**

可将母子公司、或同一行业的多家公司保存为一个“Party Group”（当事人组），点击“Save Party Group”。

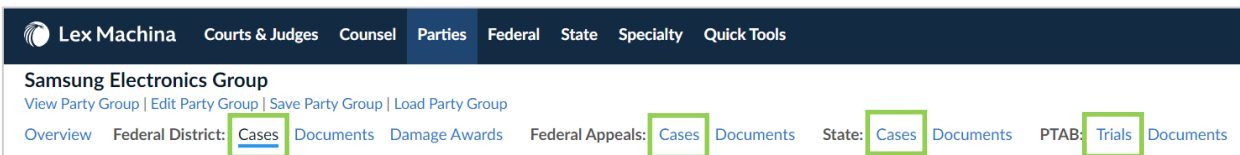


可随时调用保存的当事人组进行分析，点击“Load Party Group”。



**2. 分析页面**

可通过菜单切换显示联邦地区法院诉讼（Federal District - Cases）、联邦上诉法院诉讼（Federal Appeals - Cases）、州法院诉讼（State - Cases）、PTAB专利无效请求（PTAB - Trials）。

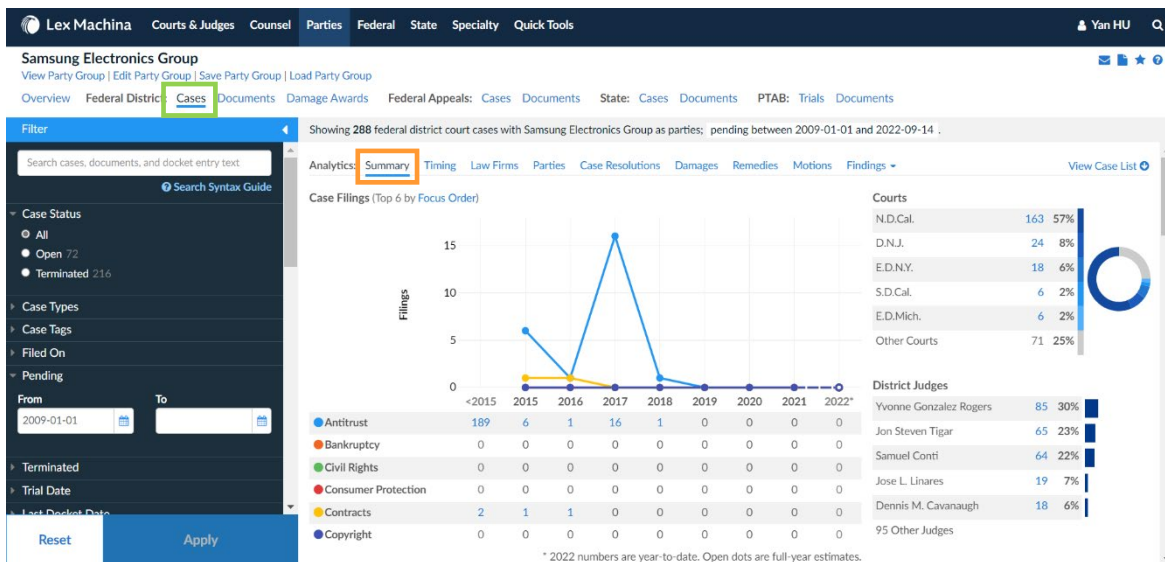


**(1) Summary**

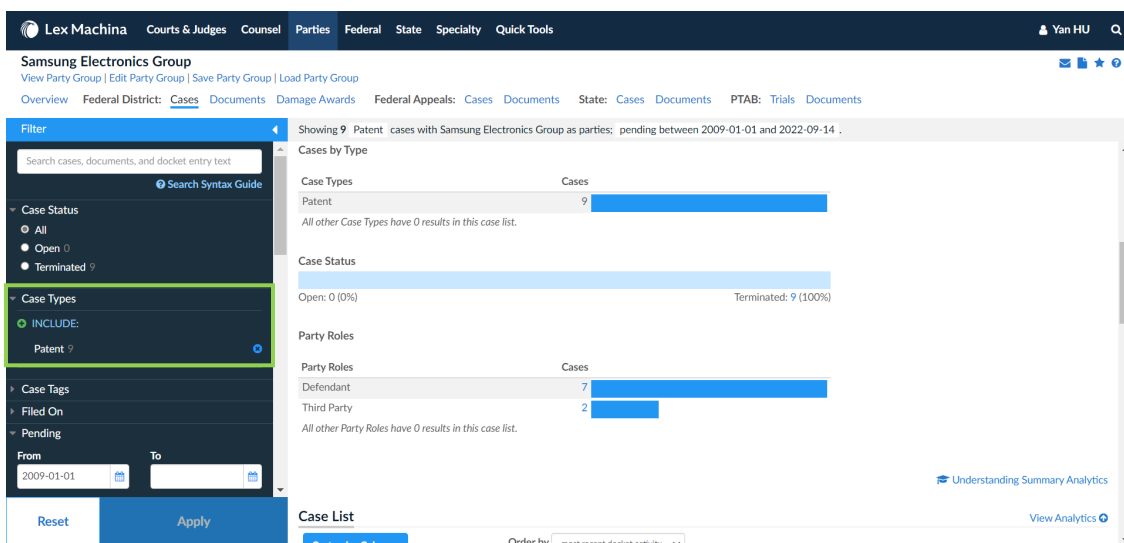
可获得以下信息。

<p><b>Federal District Cases</b> 联邦地区法院诉讼</p>	<p>- 以区域和年度为基准分析诉讼案件趋势，案件量排名前5的法院和法官，诉讼类型（专利/商标/著作权等），诉讼状态（终止/在审），当事方分类（原告/被告/第三方）等。</p>
<p><b>Federal Appeals Cases</b> 联邦上诉法院诉讼</p>	<p>- 以年度为基准分析诉讼案件趋势，案件量排名前5的法院，诉讼状态（终止/在审）等。</p>

State - Cases 州法院诉讼	以年度为基准分析诉讼案件趋势，案件量排名前5的法院等。
PTAB - Trials PTAB无效请求	以无效类型（IPR/PGR/CBM）和年度为基准分析PTAB专利无效案件趋势，所涉及的USPTO Technology Centers，案件量排名前5的法官，案件状态（终止/在审），当事方分类（请求人/专利权人）等。

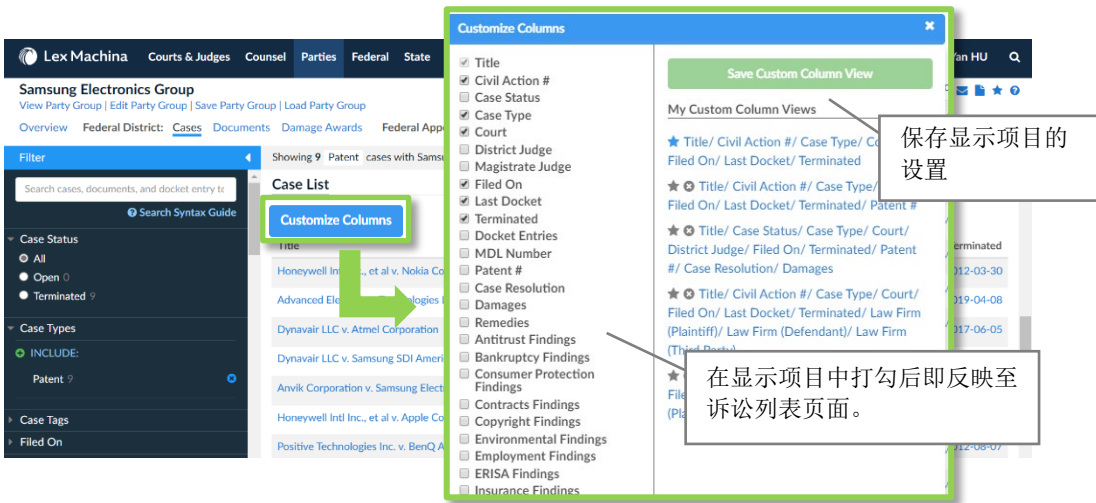


通过左侧筛选菜单中的“CASE TYPES”，可限定至专利案件。



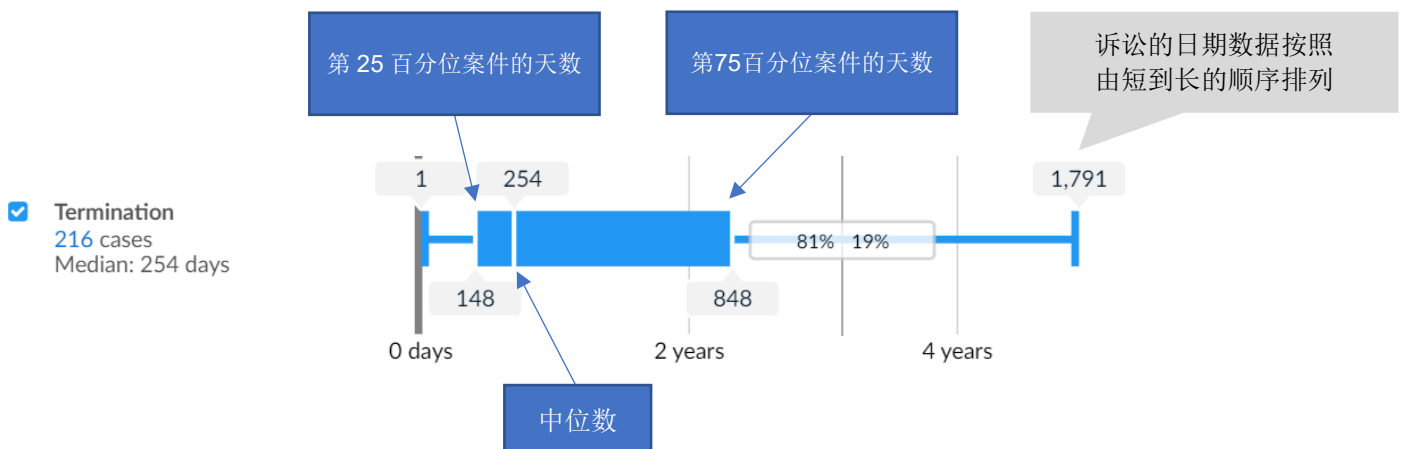
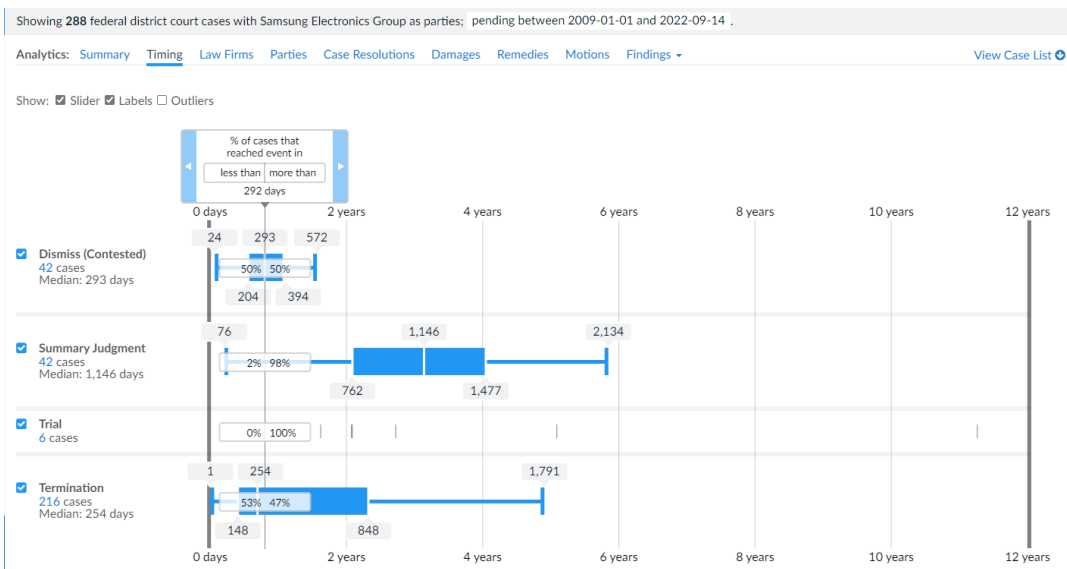
页面下方显示分析对象的诉讼列表（Case List）。

◆ Tips: 可点击“Customize Columns”来变更诉讼列表的显示项目。可自定义显示项目，并保存为“Custom Column View”。

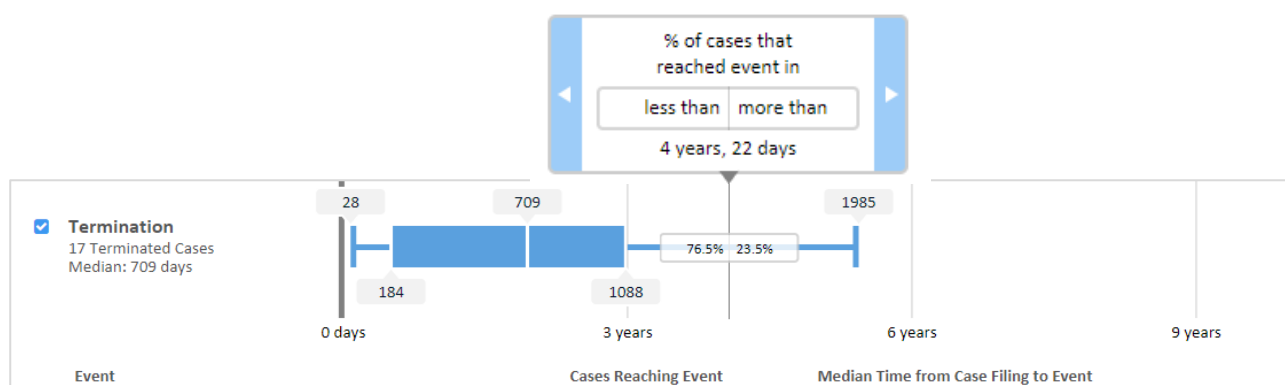


## (2) Timing

可查看从起诉日至诉讼的各个阶段所需的时间统计箱型图。



箱型图中，箱子的长度代表25百分位-75百分位的分布情况。当所分析案件的该项事件的时间统计差异较小时，箱子相对较短（即，相当多的案件到达该诉讼阶段耗时都差不多）。与此相反，当箱子长度较长时，表明所分析案件的该项时间分布差异较大（比如，有的案件到达该诉讼阶段耗时很长，有的则很短）。由此可以推知，箱子长度较短时，该项事件在中位数天数左右发生的可能性较大。



可通过滑动页面上方的浮动框来查看特定时间点已发生和未发生某项事件所占的百分比。如上图所示(Termination)，从起诉之日起到第4年零22天这个时间点，已审结的案件占76.5%，尚在审的案件占23.5%。

### (3) Law Firms

该选项卡显示在诉讼中，案件量排名前5的律师事务所。

如需获取所有律师事务所清单，请点击“Law Firm Report”。

Analytics: Summary Timing **Law Firms** Parties Case Resolutions Damages Remedies Motions Findings View Case List

**Top Law Firms Representing Samsung SDI Co., Ltd. and 1 other party**

- Sheppard Mullin Richter & Hampton
- Lewis Brisbois Bisgaard & Smith
- Allen & Overy
- Marcus Neiman & Rashbaum
- Sidley Austin

**Top Law Firms Representing Plaintiffs**

- Boies, Schiller & Flexner
- Carella, Byrne, Cecchi, Olstein, Brody & Agnello
- Scott & Scott (scott-scott.com)
- Saveri & Saveri
- McKool Smith

**Top Law Firms Representing Defendants**

- White & Case
- Sheppard Mullin Richter & Hampton
- Baker Botts
- Winston & Strawn
- Gibson, Dunn & Crutcher

**Law Firms Report** Want more details? Generate a Law Firms Report to see more analytics about the firms in your case list. Preview

Understanding Law Firms Analytics

### 【Law Firm Report】

点击▲，可以对该项进行升/降序排列，轻松掌握律师事务所的案件代理情况。

**Law Firms Report** Samsung SDI Co., Ltd. and 1 other party (Generated on 2020-01-05)  
Showing statistics for 260 cases from the Search for Lex Machina cases with Samsung SDI Co., Ltd. or Samsung SDI America, Inc. as parties; filed between 2000-01-01 and 2020-01-05.  
Limited to the top 1,000 law firms by total cases.

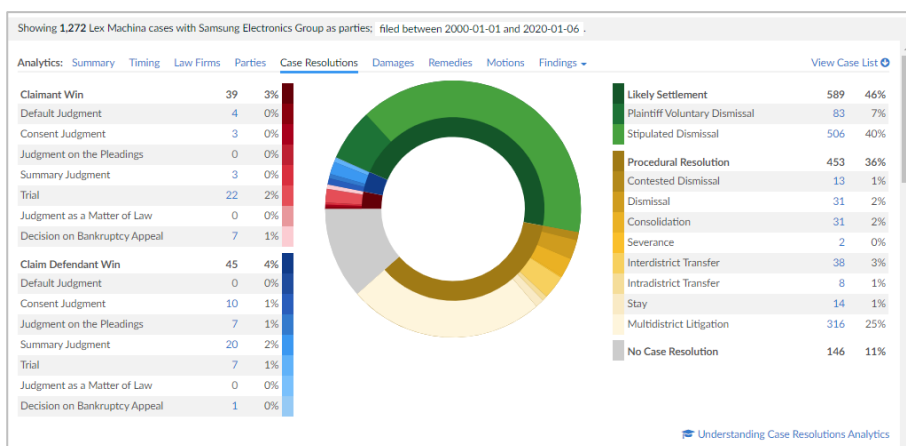
Law Firm ▲	Total Cases ▼	Cases Representing the Selected Party Group ▲	Cases Opposed to the Selected Party Group ▲
White & Case	80	1	1
Sheppard Mullin Richter & Hampton	65	65	0
Boies, Schiller & Flexner	37	0	36
Baker Botts	37	6	0
Winston & Strawn	35	4	1
Kirkland & Ellis	34	1	1
Gibson, Dunn & Crutcher	30	1	2
Carella, Byrne, Cecchi, Olstein, Brody & Agnello	24	0	24
Weil, Gotshal & Manges		4	0
Lewis Brisbois Bisgaard & Smith		23	0
Jenner & Block		0	0

点击事务所名称，打开该事务所的分析页面。



#### (4) Case Resolutions

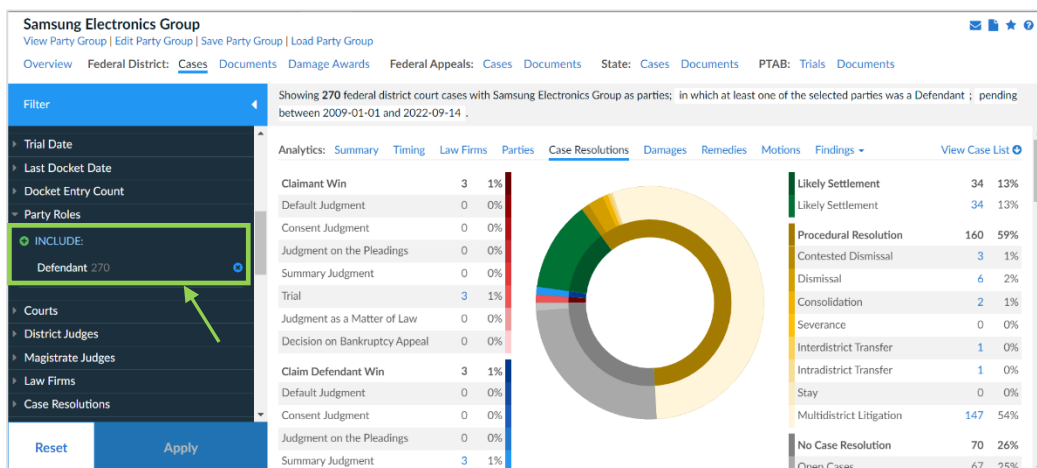
可以对分析对象的诉讼结果进行可视化分析（原告胜诉、被告胜诉、和解等）。



#### ◆ Tips

如要分析某企业作为原告方（或被告方）时的胜率，可使用页面左侧筛选菜单的“Party Role”来限定该企业的诉讼角色并查看分析结果。

例如：想要了解作为被告方时的胜率，点击“Defendant”旁的+号图标后，点击“Apply”。



※PTAB无效请求的相应菜单名称为Trial Resolutions，显示与上述类似的分析页面，能够轻松地查看无效请求的请求人和专利权人的胜率。

#### (5) Parties

显示分析对象的联邦地区法院诉讼的当事人列表（前5位），显示的三列分别为原告、被告和第三方。

获取全部当事人列表请点击“Parties Report”。

Analytics: Summary Timing Law Firms Parties Case Resolutions Damages Remedies Motions Findings View Case List

**Top Plaintiffs**

- Christopher Wirth
- Christopher Donnelly
- Edward Breivik
- Jimmy Jahar Thule
- Ramzi Yusef

**Top Third Parties**

- Honorable Charles A. Legge
- Samsung SDI America, Inc.
- Samsung SDI Co., Ltd.
- Toshiba America Consumer Products, LLC
- Toshiba America Electronic Components, Inc.

**Top Defendants**

- Samsung SDI Co., Ltd.
- Samsung SDI America, Inc.
- Panasonic Corporation (of North America)
- Hitachi, Ltd.
- Sony Corporation

**Parties Report** Want more details? Generate a Parties Report to see more analytics about the parties in your case list.

Understanding Parties Analytics

## 【Parties Report】

点击“Case on the same side as (所分析对象当事人)”或“Case opposed to (所分析对象当事人)”等以升序或降序的形式进行排序，从而能够轻松地查看经常对分析对象当事人提起诉讼的企业、或者与分析对象当事人一同应对诉讼的企业。

**Parties Report** Samsung SDI Co., Ltd. and 1 other party (Generated on 2022-09-13)  
Showing statistics for 288 cases from the Search for federal district court cases with Samsung Electronics Group as parties; pending between 2009-01-01 and 2022-09-14. Limited to the top 1,000 parties by total cases.

Party	Total Cases	Cases on the Same Side as Samsung SDI Co., Ltd. and 1 other party	Cases Opposed to Samsung SDI Co., Ltd. and 1 other party	Cases as Third Party
Panasonic Corporation (of North America)	190	187	0	2
Hitachi, Ltd.	164	158	0	3
Sony Corporation	118	118	0	0
LG Chem, Ltd.	117	116	0	1
Sanyo North America Corporation	117	117	0	0
Sony Energy Devices Corporation	115	115	0	0
Sanyo Electric Co., Ltd.	110	110	0	0
Toshiba Corporation	107	91		
LG Chem America, Inc.	106	106		
Sony Electronics, Inc.	105	104		
Maxell Corporation (of America)	96	96		
Hitachi Maxell, Ltd	92	92		

点击后显示各当事人的分析页面。

点击后可以查看本分析对象专利群中各当事人所涉及的诉讼一览表。

## (6) Damages

可查看以往诉讼的赔偿类型和赔偿金额。

Analytics: Summary Timing Law Firms Parties Case Resolutions Damages Remedies Motions Findings View Case List

DAMAGE TYPE	CASES	AMOUNT
General Damages	25	\$1,037,752,934
Other / Mixed Damage Types	5	\$970,934,108
Attorneys' Fees / Costs	24	\$14,961,800
Prejudgment Interest	4	\$51,857,026
Patent Damages	12	\$1,093,445,603
Reasonable Royalty	12	\$1,059,498,757
Lost Profits	1	\$6,122,047
Enhanced Damages	2	\$27,824,799

Grand Total: \$2,131,198,537

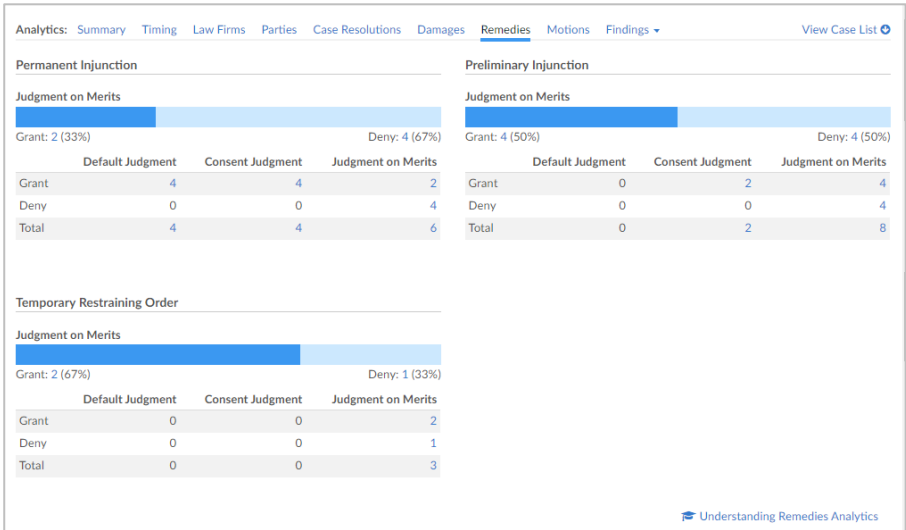
Practice Areas with no damage awards are not shown. See Damage Awards search to explore individual Damage Awards.

Year	Damages (Approx. \$M)	Cases with Damage Awards
2012	~\$250M	1
2013	~\$650M	2
2014	~\$750M	2
2015	~\$750M	2
2016	~\$400M	2
2017	~\$250M	2
2018	~\$850M	4
2019	~\$100M	1

## (7) Remedies

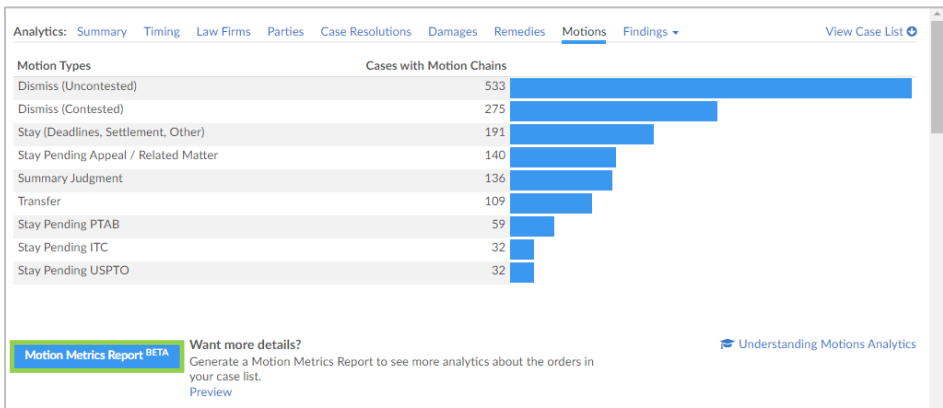
可按判决类型查看各救济措施请求是否被法院同意的统计。水平柱状图所展示的是Judgment on the Merits

的结果（不包括Default Judgement及Consent Judgement）。点击各表中的数字后，可进一步过滤到符合该条件的诉讼列表。



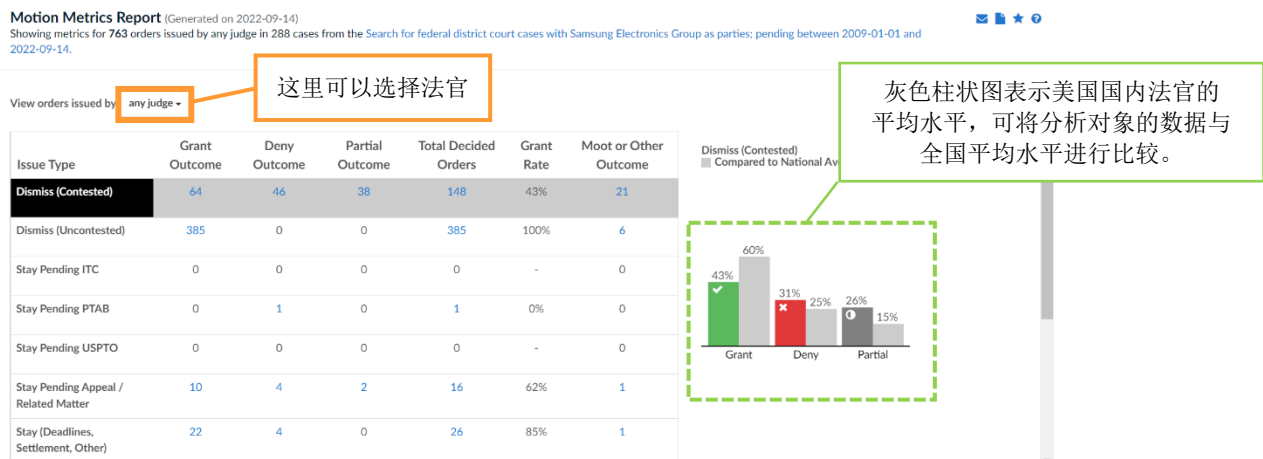
### (8) Motions

能够掌握所分析对象涉及案件的动议（motion）统计。进一步点击下方的“Motion Metrics Report”，会显示法官针对各项动议（motion）的决定（Grant、Deny、Partial等）统计。



### 【Motion Metrics Report】

此报告可统计诉讼中当事人提出的动议（motion）及相关的法官决定，可用于分析动议策略以及法官对于不同类型动议的倾向性。



如需查看某位法官对于某类动议同意（Grant）或拒绝（Deny）的具体案件和案卷，选定法官后，可点击列表中对应该事件数以打开“Motion Chains”。

例如：查看就Stay Pending PTAB提出的Motion作出同意决定的案件和案卷

View orders issued by any judge ▾

Issue Type	Grant Outcome	Deny Outcome	Partial Outcome	Total Decided Orders	Grant Rate	Moot or Other Outcome
Dismiss (Contested)	64	46	38	148	43%	21
Dismiss (Uncontested)	385	0	0	385	100%	6
Stay Pending ITC	0	0	0	-	-	0
<b>Stay Pending PTAB</b>	0	<b>1</b>	0	1	0%	0
Stay Pending USPTO	0	0	0	0	-	0



**Motion Chains**  
Showing 1 motion chain; from a Motion Metrics Report (Generated on 2022-09-14); with Stay Pending PTAB as a motion type with Deny as an order outcome.

[Advanced Electrolyte Technologies LLC et al v. ESDI LLC et al W.D.Tex. 1:17-cv-00030-LY](#) 如需查看该案件的详情，点击此处

**Stay Pending PTAB**

- #89 Opening Filed By: Samsung SDI America, Inc., Samsung SDI Co., Ltd., Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.
- #90 Response Filed By: Ube Industries, Ltd., and Advanced Electrolyte Technologies LLC
- #93 Reply Filed By: Samsung SDI America, Inc., Samsung SDI Co., Ltd., Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.
- #95 Order to Stay Pending PTAB, Issued By: Earl Leroy Yeakel III (ELY) on 2018-09-24, Outcome: Deny

Motion to Stay Pending PTAB: Deny Time to Order: 20 days

[Advanced Electrolyte Technologies LLC et al v. ESDI LLC et al W.D.Tex. 1:17-cv-00030-LY](#) **Patent**

**Stay Pending PTAB**

- #89 Opening Filed By: Samsung SDI America, Inc., Samsung SDI Co., Ltd., Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.
- #90 Response Filed By: Ube Industries, Ltd., and Advanced Electrolyte Technologies LLC
- #93 Reply Filed By: Samsung SDI America, Inc., Samsung SDI Co., Ltd., Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.
- #95 Order to Stay Pending PTAB, Issued By: Earl Leroy Yeakel III (ELY) on 2018-09-24, Outcome: Deny

Motion to Stay Pending PTAB: Deny

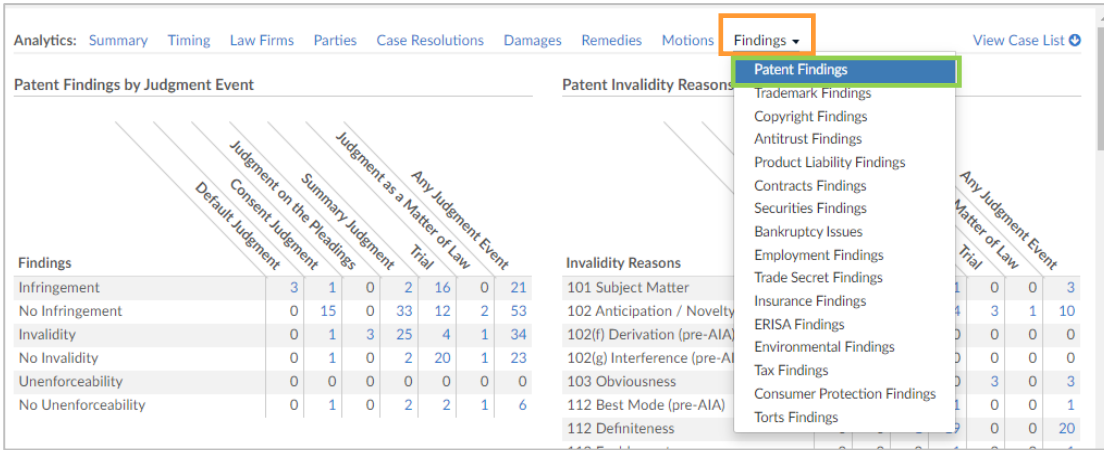
**【Motion Chains】**

记录整理了就某项动议（motion）自当事人一方申请、对方当事人回应，至法院作出决定的相关文档。并且，为了能快速查看事件结果，添加了结果信息。可通过Motion Chains迅速找到相关文档，了解法院作出决定的关键理由，从而帮助构建有效的动议策略。

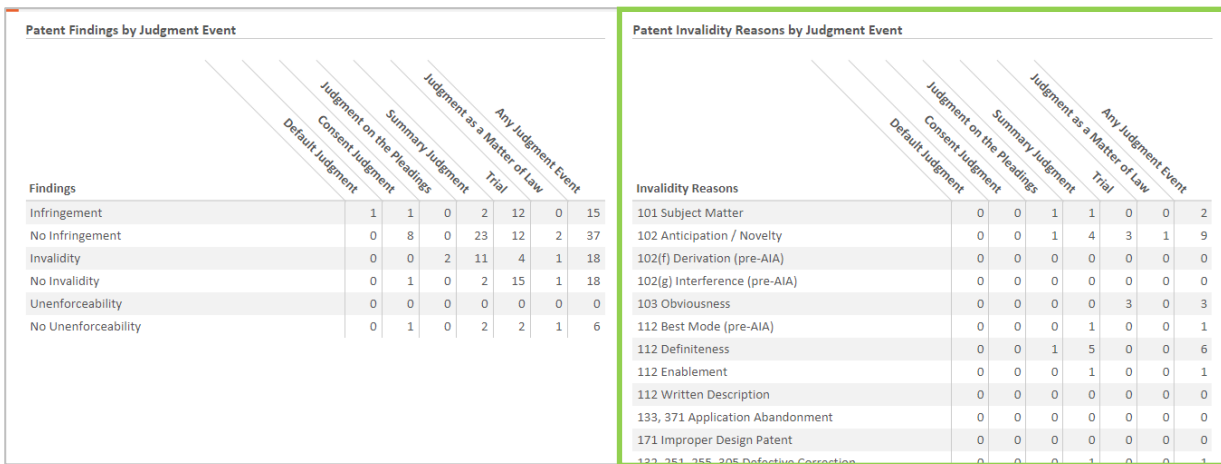
**(9) Findings**

可根据统计表查看，在诉讼的哪些阶段对于各争议点做出了哪些审判结果。可点击“Findings”，选择要查看的诉讼类型。

例如：专利诉讼 → 点击 Patent Findings

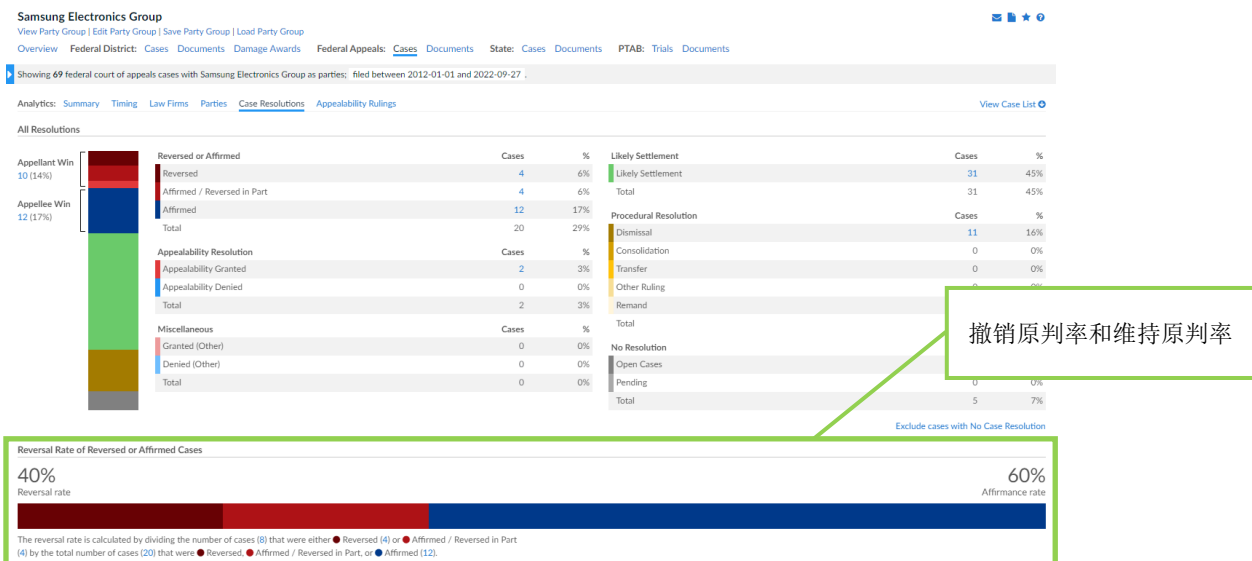


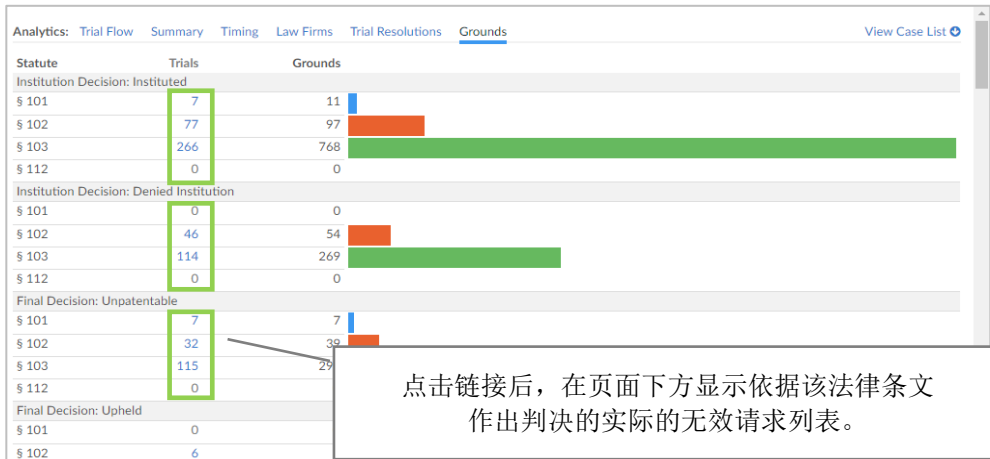
对于专利诉讼，还能够按诉讼阶段查看专利被判决无效的理由（新颖性、非显著性等）。



### (10) Case Resolution

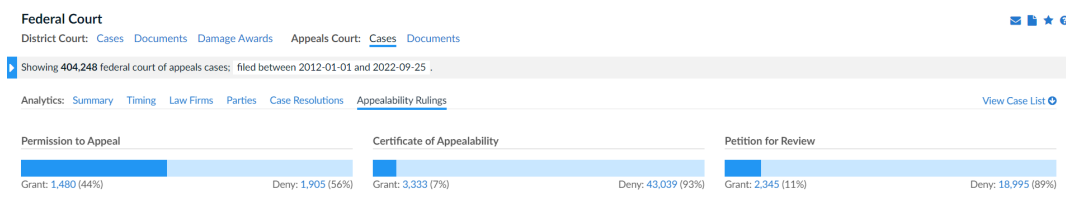
针对上诉法院诉讼（Federal Appeals - Cases），可通过结案情况（Case Resolution）查看结案情况分布以及上诉的撤销原判率（reversal rate）和维持原判率（affirmance rate）。





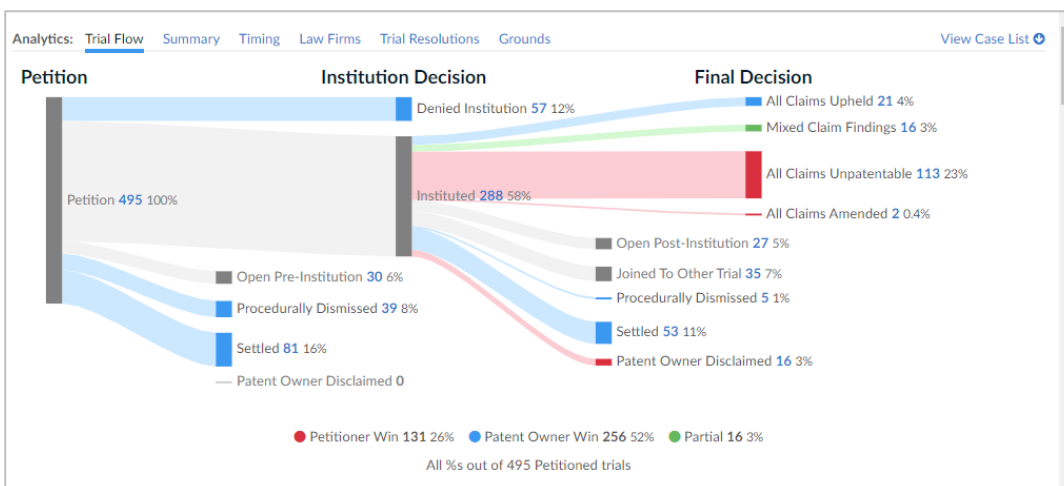
### (11) Appealability Rulings

针对上诉法院诉讼（Federal Appeals - Cases），可通过可上诉性裁决（Appealability Rulings）查看同意（Grant）和拒绝（Deny）的分布。



### (12) Trial Flow

针对PTAB无效请求，通过桑基图将各个阶段申请人的胜率及件数、或专利权人的胜率及件数可视化。需查看特定企业的胜率等情况时，与 Case Resolutions 相同，可利用左侧的筛选菜单“Party Roles”进行限定。



### (13) Grounds

可查看PTAB立案、拒绝立案以及最终决定所涉的法律依据统计。

## IV. 其他分析方法

### 1. 基于文件的分析

Lex Machina对获得的诉讼、PTAB无效请求以及ITC调查的案卷文档进行OCR处理，因此可进行文本检索。请注意，保密文件、尚未完成OCR的文件不在检索范围内。

#### (1) 检索步骤

首先，点击想要检索的领域的“Documents”，显示文件列表的页面。输入检索式后点击“Apply”，提取出符合检索条件的文件列表。

分类	菜单	检索对象
Federal	Federal District Court ⇒ Documents	联邦地区法院诉讼
Administrative	Administrative Venues ⇒ PTAB - Documents	PTAB无效请求
	Administrative Venues ⇒ ITC - Documents	ITC调查

检索式示例：driv? AND (support? OR assist?)，检索联邦地区法院诉讼的案卷文档。

在这里输入文本检索的条件

检索条件设置完成后点击“Apply”

driv? AND (support? OR assist?)

Search Syntax Guide

※检索式的逻辑运算符必须使用大写字母。可点击搜索栏下方的“Search Syntax Guide”查看检索规则，如检索运算符，通配符等相关内容。

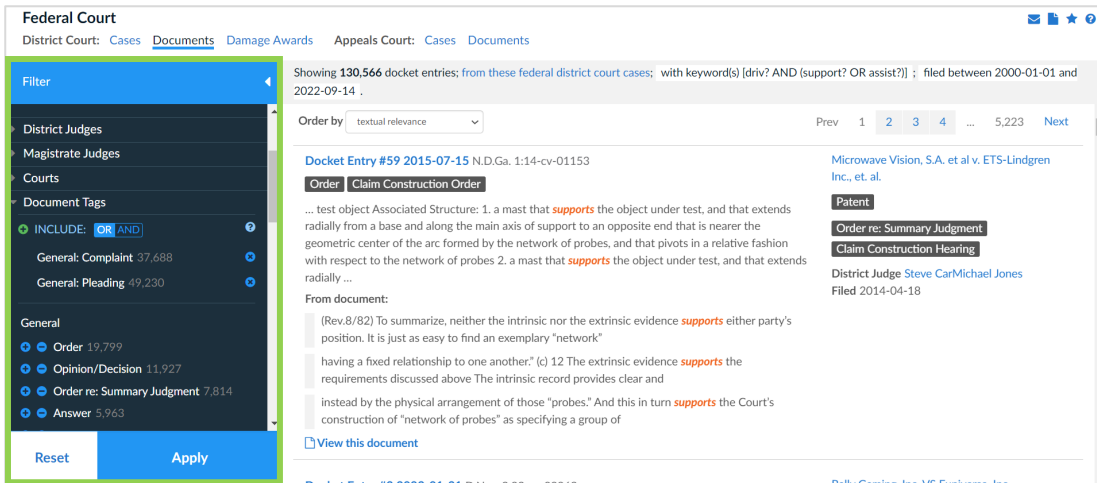
#### ◆ Tips

点击页面左侧的筛选菜单的“Document Tags”，能够限定到特定类型的文档。

指定检索对象的文档类型 ⇒ 点击+图标后点击“Apply”。

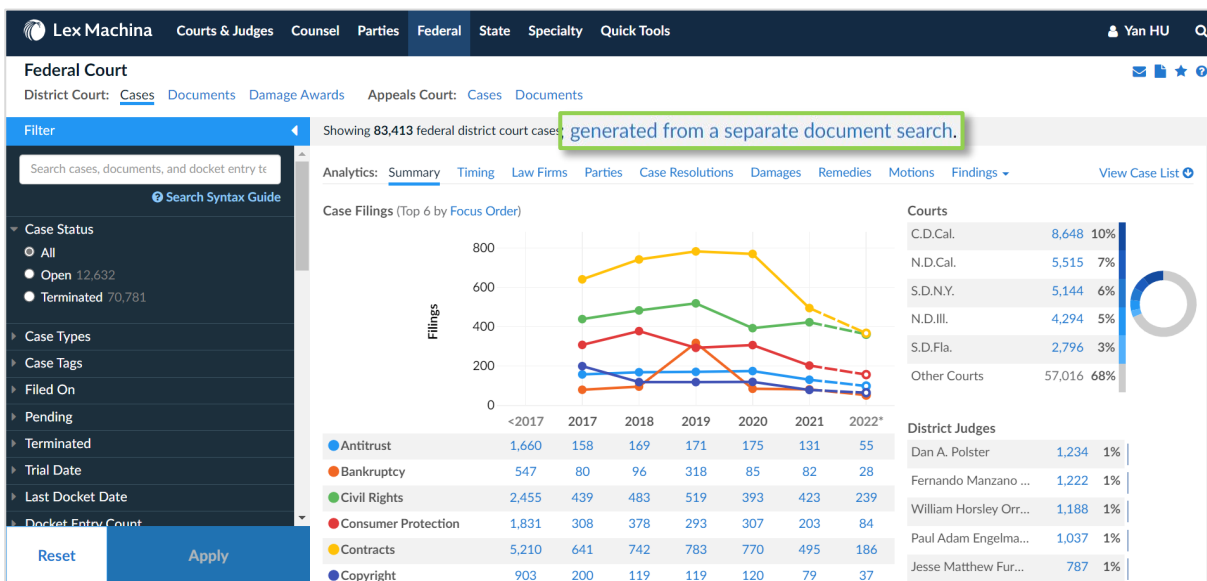
排除特定的文档类型 ⇒ 点击-图标后点击“Apply”。

※同时检索多个文档类型时，可指定文档类型彼此间的关系（OR或AND）。



(2) 从检索得到的文件列表链接至案件列表

点击页面中央上方显示检索条件旁的“from these federal district court cases”链接。



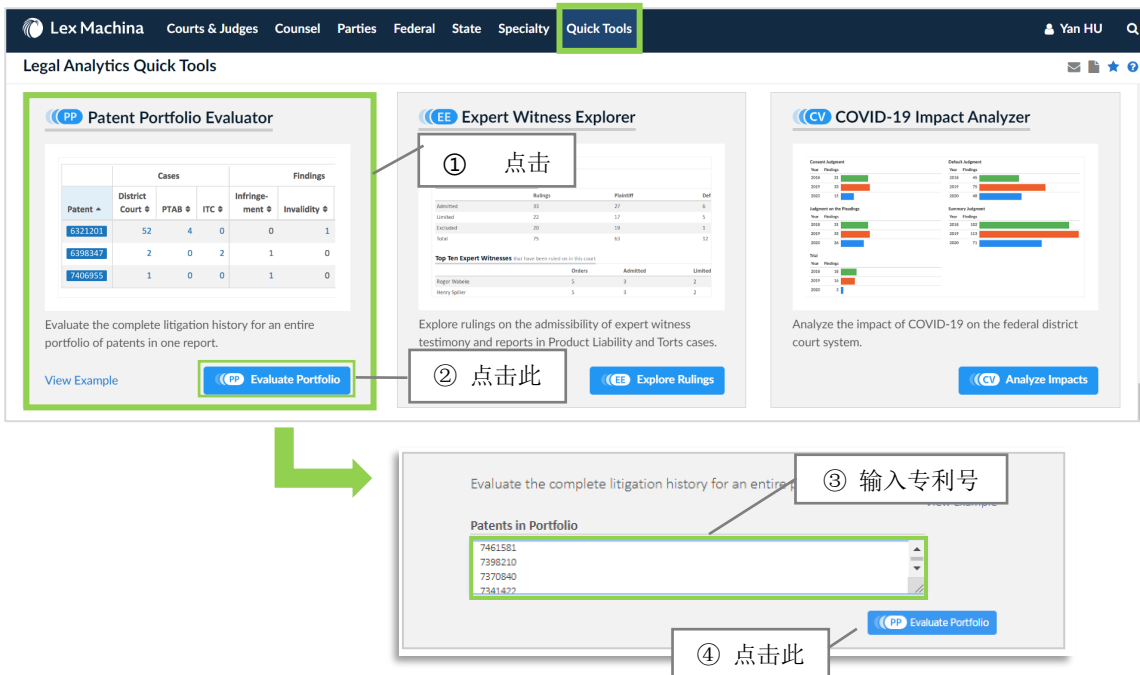
2. 基于多个专利号的分析

可使用Quick Tools中的“Patent Portfolio Evaluator”，同时分析多个专利号的相关联邦地区法院诉讼、PTAB无效请求和ITC调查。单次可分析最多1000件专利。

(1) 检索步骤

点击Quick Tools → Patent Portfolio Evaluator。隔开空格或者隔行输入美国专利号，然后点击“Evaluate Portfolio”。





→检索处理完成后，显示Patent Portfolio Report。关于Patent Portfolio Report的详细内容，参见II. 2. (2) Patent Portfolio Report（第11页）。

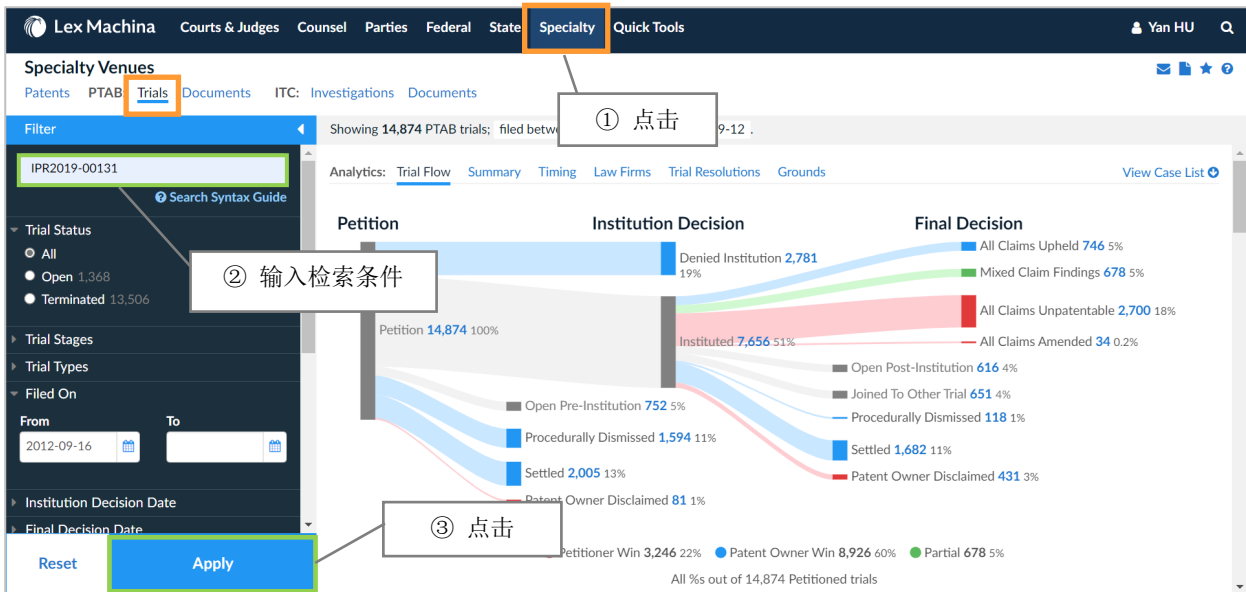
### 3. 通过案件编号或案件名查看联邦地区法院诉讼、上诉法院、PTAB无效请求以及ITC调查信息

从页面上的菜单栏选择分类之后，参考下述表访问页面中的菜单。

在左侧搜索栏中输入案件编号或案件名，点击“Apply”进行检索。

分类	菜单	检索对象
Federal	Federal Court ⇒ District Court - Cases	联邦地区法院诉讼
	Federal Court ⇒ Appeals Court - Cases	上诉法院诉讼
Specialty	Specialty Venues ⇒ PTAB - Trials	PTAB无效请求
	Specialty Venues ⇒ ITC - Investigations	ITC调查

例如：通过案件编号检索PTAB无效请求



### 【检索条件的输入例】



检索后在页面下方显示符合条件的案件，点击案件名或编号后显示其详情页面。从详情页面可以获得案卷的PDF文件。

诉讼：详情页面的“Docket Entries”（参见V. 查看和提取案卷（DOCKET）（第30页））。

无效请求：详情页面的“Documents”

ITC：详情页面的“Documents”（详情请见第7页【Documents页面】）

## 4. 赔偿金额的查看

可以在Federal District Court的“Damage Awards”页面中查看已获得赔偿金的案件详细情况。使用页面左侧的筛选功能，可以轻松根据赔偿金的种类、金额、判决时间、法院和法官等进行限定。

Federal Court

District Court: Cases Documents **Damage Awards** Appeals Court: Cases Documents

Filter: Showing 274,669 damage awards.

Order by: largest amount

Docket	Amount	Damage Type	Judgment Source	Against	Awarded To	Case
2016-10-25 (#2104)	\$10,033,000,000.00	Restitution (Consumer Protection)	Consent Judgment	Volkswagen Group of America, Inc. Audi of America, Inc.	Federal Trade Commission	In re: Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation N.D.Cal. 3:15-md-02x
2013-05-09 (#106)	\$8,411,899,098.08	Pain and Suffering (Torts)	Default Judgment	Iranian Ministry of Information and Security Islamic Republic of Iran	Multiple Plaintiffs	ESTATE OF JOHN DOE et al vs. ISLAMIC REPUBLIC OF IRAN et al D.D.C. 1:08-cv-0054x
2016-04-04 (#16095)	\$8,100,000,000.00	Natural Resource Damages / Assessment Costs	Consent Judgment	BP America, Inc. BP America Production Company BP Products North	United States of America State of Mississippi State of Texas	In Re: Oil Spill by the Oil Rig "Deepwater Horizon" in the Gulf of Mexico, on April

【页面的访问方法】  
“Federal”  
=> Federal Court - Damage Awards

点击表中的链接可以查看该案的详细信息。

## 5. 律师事务所和律师的检索和分析

### (1) 检索步骤

点击“Counsel”，输入律师事务所名称或律师姓名后点击检索。

Antitrust	Bankruptcy	Civil Rights	Consumer Protection
Quinn Emanuel Urquhart & Sullivan 153	Department of Justice 1,294	Potter Handy 9,465	Jones Day 5,325
Gibson, Dunn & Crutcher 152	Brown Rudnick 480	So Cal Equal Access Group 4,359	Schuckit & Associates 3,736
Skadden, Arps, Slate, Meagher & Flom 130	Selendy & Gay 448	Gottlieb & Associates 2,241	Atlas Consumer Law 3,386
Crowell & Moring 128	Weil, Gotshal & Manges 244	Manning Law (manninglawoffice.com) 2,231	Clark Hill 2,744
Department of Justice 122	Faegre Drinker Biddle & Reath 185	Mizrahi Kroub 1,420	Sessions, Israel & Shartle 2,544

### (2) 分析

用律师事务所或律师名称检索后，点击Federal District 的Cases ⇒ Parties，可以查看其所涉及诉讼案件的所有当事人列表。

Party #	Title	Total Cases	Cases Represented by Fish & Richardson	Cases Opposed by Fish & Richardson
1	Fresenius Medical Care Holdings, Inc.	221	205	0
2	Fresenius USA, Inc.	216	207	0
3	Fresenius USA Marketing, Inc.	209	203	0
4	Fresenius USA Manufacturing, Inc.	209	203	0
5	David Folsom	176	0	0
6	Apple Inc.	135	107	2
7	Samsung Electronics America, Inc.	128	84	0
8	Microsoft Corporation	121	102	2
9	Samsung Electronics Co., Ltd.	114	75	1
10	LG Electronics, Inc.	113	92	1
11	Crossmark, Inc.	108	108	0
12	David Reizer	105	1	0
13	Fresenius USA Sales, Inc.	99	80	1

用律师事务所或律师名称检索后，点击“Client List”显示其所负责的客户列表、案件数量和角色（原告、被告或第三方）。

**Clients in District Court Cases**

Party #	Role #	Cases #
Huawei Device USA, Inc.	Defendant	5
Huawei Technologies Co., Ltd.	Plaintiff	4
Huawei Technologies Co., Ltd.	Defendant	4
Apple Inc.	Defendant	2
Schluter Systems L.P.	Defendant	2
Apple Inc.	Plaintiff	1
Cargill, Incorporated	Third Party	1
Commonwealth Scientific and Industrial Research Organisation	Defendant	1
Crossmark, Inc.	Defendant	1
Huawei Device Co., Ltd.	Defendant	1
InHand Networks, Inc.	Defendant	1
mHelpDesk, Inc.	Defendant	1
Microstrategy Incorporated	Plaintiff	1
Plastipak Packaging, Inc.	Plaintiff	1

※关于其他的诉讼/审判分析页面的详细情况，参见III.2. 分析页面（第13页）。

## 6. 法院或法官的检索和分析

### (1) 检索步骤

点击“Courts & Judges”，输入法院或法官姓名后点击检索。

同时，法院的列表位于本检索页的下方，因而也可不输入、直接点击链接即得到该法院的信息。

**Courts & Judges**

Search for Courts: Court name

Search for Judges: Judge name

Includes federal district courts, courts of appeals, available state courts, PTAB, and the ITC.

Includes federal (district, magistrate, and circuit), available state court, PTAB, and ITC judges.

**Top 5 Courts by Case Filings** Federal District Court, 2018-2022

Antitrust	Bankruptcy	Civil Rights	Consumer Protection
N.D.Cal. 340	S.D.N.Y. 1,049	C.D.Cal. 17,469	M.D.Fla. 4,426
N.D.Ill. 335	D.N.J. 626	S.D.N.Y. 10,376	N.D.Ill. 4,250
S.D.N.Y. 231	C.D.Cal. 597	S.D.Fla. 6,487	C.D.Cal. 3,985
D.D.C. 202	S.D.Fla. 473	N.D.Cal. 6,343	E.D.N.Y. 3,787
E.D.Mich. 133	M.D.Fla. 404	E.D.N.Y. 3,581	S.D.Fla. 3,341

→ All District Court Case Filings by Year

**Top 5 District Judges by Case Filings** 2018-2022

Antitrust	Bankruptcy
Beryl Elaine Howell (BAH) 115	Vernon Speede Broderick (VSB) 459
D.D.C.	S.D.N.Y.
Thomas Michael Durkin (TMD) 94	Freda L. Wolfson (FLW) 350
N.D.Ill.	D.N.J.

**Federal District Courts**

- First Circuit**
  - District of Maine ( D.Me. )
  - District of Massachusetts ( D.Mass. )
  - District of New Hampshire ( D.N.H. )
  - District of Rhode Island ( D.R.I. )
  - District of Puerto Rico ( D.P.R. )
- Second Circuit**
  - District of Connecticut ( D.Conn. )
  - Northern District of New York ( N.D.N.Y. )
  - Eastern District of New York ( E.D.N.Y. )
  - Southern District of New York ( S.D.N.Y. )
  - Western District of New York ( W.D.N.Y. )
  - District of Vermont ( D.Vt. )
- Fifth Circuit**
  - Western District of Louisiana ( W.D.La. )
  - Northern District of Mississippi ( N.D.Miss. )
  - Southern District of Mississippi ( S.D.Miss. )
  - Northern District of Texas ( N.D.Tex. )
  - Eastern District of Texas ( E.D.Tex. )
  - Southern District of Texas ( S.D.Tex. )
  - Western District of Texas ( W.D.Tex. )
  - Eastern District of Louisiana ( E.D.La. )
  - Middle District of Louisiana ( M.D.La. )
- Sixth Circuit**
  - Eastern District of Kentucky ( E.D.Ky. )
  - Western District of Kentucky ( W.D.Ky. )
  - Eastern District of Michigan ( E.D.Mich. )
- Ninth Circuit**
  - District of Arizona ( D.Ariz. )
  - Northern District of California ( N.D.Cal. )
  - Eastern District of California ( E.D.Cal. )
  - Central District of California ( C.D.Cal. )
  - Southern District of California ( S.D.Cal. )
  - District of Hawaii ( D.Haw. )
  - District of Idaho ( D.Idaho )
  - District of Montana ( D.Mont. )
  - District of Nevada ( D.Nev. )
  - District of Oregon ( D.Or. )
  - Eastern District of Washington ( E.D.Wash. )
  - Western District of Washington ( W.D.Wash. )
  - District of Guam ( D.Guam )

### (2) 分析

#### ① 利用法院名称检索时

除了Overview页面、诉讼分析页面之外，可以查看该法院所属的法官或参与该法院的判决的其他法院所属的法官列表（Judges页面）。

District Judges Assigned to This District					
Name #	# of Open Cases #	# of Cases in this Court #	# of Cases #	Appointed #	Retired #
James E. Kinkadee	10206	11383	11383	2002-11-15	
David C. Godbey	182	1614	1614	2002-08-02	
Barbara M. Lynn	144	1601	1608	1999-11-22	
Sam A. Lindsay	116	1512	1527	1998-03-17	
Sidney Allen Fitzwater	110	1332	1332	1986-03-19	
Jane J. Boyle	102	1455	1457	2004-06-29	
Reed Charles O'Connor	98	1178	1178	2007-11-21	
Karen Gren Scholer	95	223	223	2018-03-06	
Samuel Ray Cummings	94	950	950	1987-12-09	
Allen Joe Fish	86	876	876	1983-02-24	
John H. McBryde	41	1084	1084	1990-08-03	
Terry R. Means	40	852	852	1990-11-05	
Jorge Antonio Solis	21	1020	1020	1991-09-16	
Mary Lou Robinson	6	484	484	1979-04-26	

可以从各链接跳转至  
相关诉讼分析画面

② 利用法官姓名检索时

可以查看**Overview**页面、该法官所参与的诉讼分析页面。

**Overview**页面中可以查看属于同一法院的法官的比较数据，以及该法官的职业信息、**Timing**分析等。

※关于诉讼分析画面的详细情况，参见III.2. 分析画面（第13页）。

## V. 查看和提取案卷 (DOCKET)

在诉讼的详情页面可查看和提取案卷 (Docket)。

在分析页面显示的诉讼名单中，点击要查看的诉讼案件名称或编号，即可显示该案件的详情页面。

Title	Civil Action #	Case Type	Court	Filed On	Last Docket	Terminated
Karamelon LLC v. Nortek Security & Control, LLC	4:19-cv-06016	Patent	N.D.Cal.	2019-09-25	2020-01-06	2020-01-02
SIPCO, LLC v. Vertiv Corporation	1:19-cv-01369	Patent	D.Del.	2019-07-23	2020-01-06	2020-01-03
Stretchtech, LLC v. Anki, Inc.	1:19-cv-05379	Patent	S.D.N.Y.	2019-06-07	2020-01-06	2019-11-04
KCG Technologies, LLC v. CarMax Auto Superstores, Inc.	-cv-11101	Patent	D.Mass.	2019-05-13	2020-01-06	2019-11-27
Vaxcel International Co., Ltd. v. Minka Lighting, Inc.	5:18-cv-02549	Patent	C.D.Cal.	2018-12-04	2020-01-06	2019-12-20
Uniloc 2017 LLC v. Microsoft Corporation	8:18-cv-02053	Patent Contracts	C.D.Cal.	2018-11-17	2020-01-06	2019-08-09
Super Interconnect Technologies LLC v. Huawei Device Co. Ltd. et al	2:18-cv-00462	Patent	E.D.Tex.	2018-11-02	2020-01-06	2019-12-06

点击左侧菜单栏中的Docket Entries，显示Docket列表。

Uniloc 2017 LLC v. Microsoft Corporation C.D.Cal. 8:18-cv-02053-AG-JDE

Summary **Docket Entries**

Overview

Parties & Counsel

Case Resolution

Time to Termination

Patents (4)

**Docket Entries (107)**

Feedback or Corrections

Patent Contracts

Appealed to Circuit Court Commercial High-Volume Plaintiff Breach of Contract

Court: Central District of California

Status: Terminated

District Judges: David O. Carter, Andrew J. Guilford

Magistrate Judges: Douglas F. McCormick, John D. Eastman

Parties: Plaintiff: Uniloc 2017 LLC Counter Defendant; Defendant: Microsoft Corporation Counter Claimant

Case Resolution: 2019-08-09 (#95) Procedural: Stay

Time to Termination: Number of days from filing to termination. Based on cases that terminated between 2015-01-08 and 2020-01-07. Show: Slider Labels Outliers

Docket列表对重要文档添加了标签，如Order、Complaint、Judgement等。利用页面左侧的筛选功能“Document Tags”可以迅速限定所需查看的文档类型。

另外，也可以进行关键字检索，从而从该诉讼案卷中提取出包含所输入的关键字的文档。

Uniloc 2017 LLC v. Microsoft Corporation C.D.Cal. 8:18-cv-02053-AG-JDE

Summary **Docket Entries**

Showing 107 docket entries.

Filter

Search documents and docket entry text

Filed On

Document Tags

INCLUDE:

General: Appealed to Circuit Court 2

General

Order 20

Order re: Consolidation 4

Pleading 3

Answer 2

Corporate Disclosure Statement 2

Complaint 1

Counterclaim 1

Order re: Stay 1

Reset Apply

Order by: earliest docket entry

#1: Filed: 2018-11-17  
Pleading Complaint  
COMPLAINT Receipt No: 0973-22760832 - Fee: \$400, filed by Plaintiff Uniloc 2017 LLC. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D) (Attorney M Elizabeth Day added to party Uniloc 2017 LLC(pty-pla))(Day, M) (Entered: 11/17/2018) View Documents (1/5) Fetched

#2: Filed: 2018-11-17  
CIVIL COVER SHEET filed by Plaintiff Uniloc 2017 LLC. (Day, M) (Entered: 11/17/2018) View Documents (1/1) Fetched

#3: Filed: 2018-11-17  
Request for Clerk to Issue Summons on Complaint (Attorney Civil Case Opening) 1 filed by Plaintiff Uniloc 2017 LLC. (Day, M) (Entered: 11/17/2018) View Documents (1/1) Fetched

#4: Filed: 2018-11-17  
Corporate Disclosure Statement  
Fed. R. Civ. P. 7.1 Disclosure Statement and Rule 7.1-1 NOTICE of Interest CF Uniloc Holdings LLC. (Day, M) (Entered: 11/17/2018) View Documents (1/1) Fetched

#5: Filed: 2018-11-17  
REPLY TO ORDER RE: DISCOVERY View Documents (1/1) Fetched

添加的标签

如需获得PDF文件，请点击此处。

筛选功能

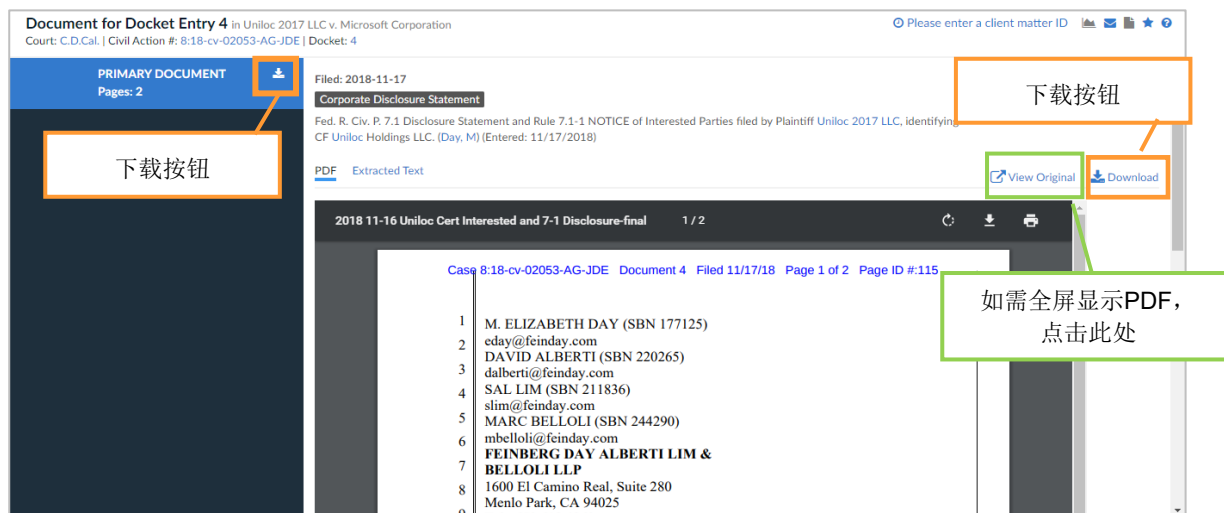
如需查看文档具体内容或下载PDF文件，点击页面右侧的“View Documents”。“View Documents”下面标示的分数，分母表示该文档的总文件数量，分子表示已保存至Lex Machina服务器中的文件数量。当分子与分母数字不一致时，意味着需要用户手动提取，用户只需点击“Fetch”或者“Click to fetch this document”，即从PACER下载文件（不收取额外费用）。

◆有未提取文件时的画面



◆已提取文件时的画面

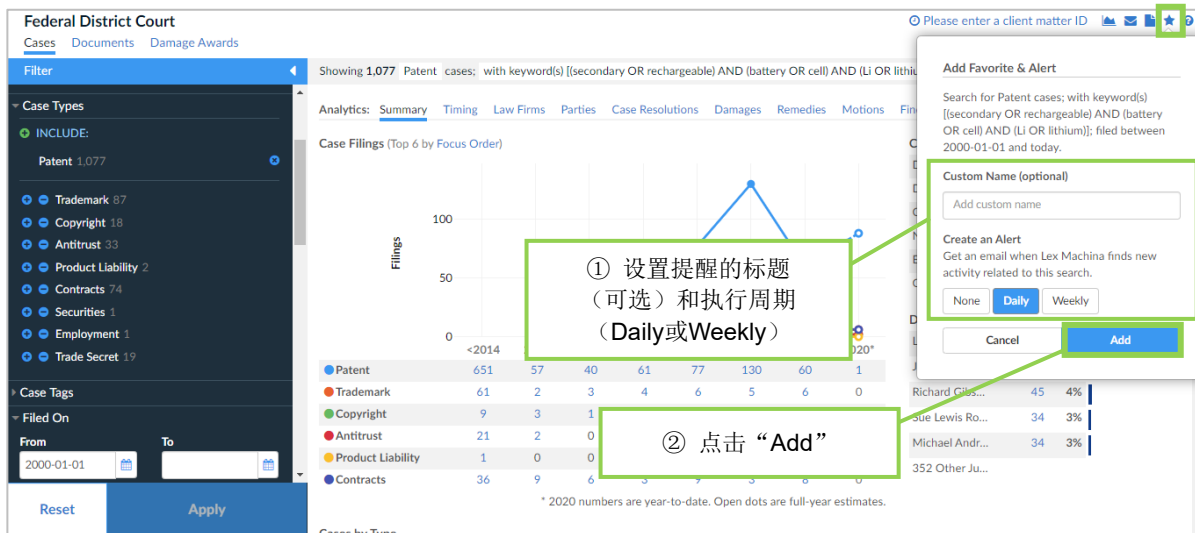
想要在文件内容中进行关键字检索时，将显示切换为“Extracted Text”之后，按Ctrl+F键在页面中进行检索。



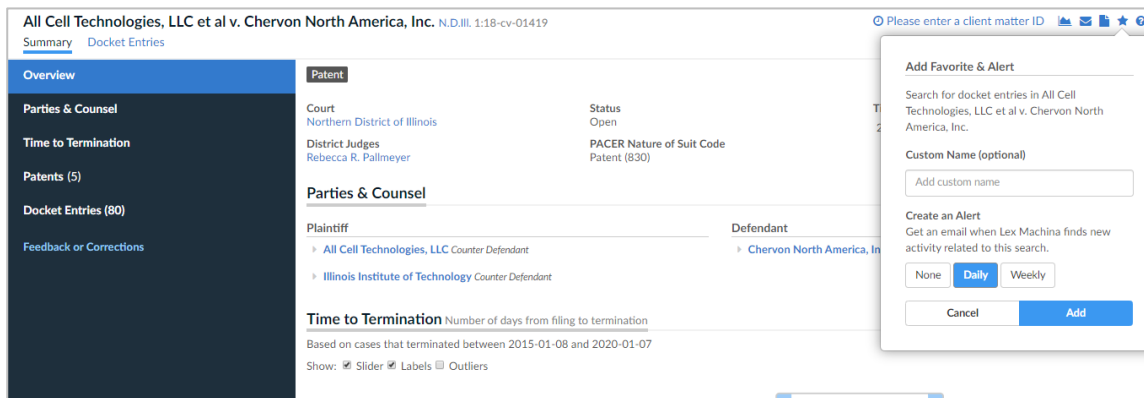
## VI. 提醒的利用

### 1. 设置提醒

Lex Machina具有非常灵活的提醒功能，可针对任何检索条件设置案件或文档的提醒。例如，可通过设置关键字提醒来及时获知某个产业或技术领域的最新诉讼信息（提醒邮件将发送至用户ID中登记的邮箱地址）。点击搜索结果页面右上角的★，设置提醒。



除了针对检索条件的提醒，还可就具体案件进行监控，也就是说如果该案件有新的文档，即发送提醒。※如需监控特定案件的进展时，进入该案件的详情页面之后，点击★设置提醒。



#### 【Create an Alert的选择项目】

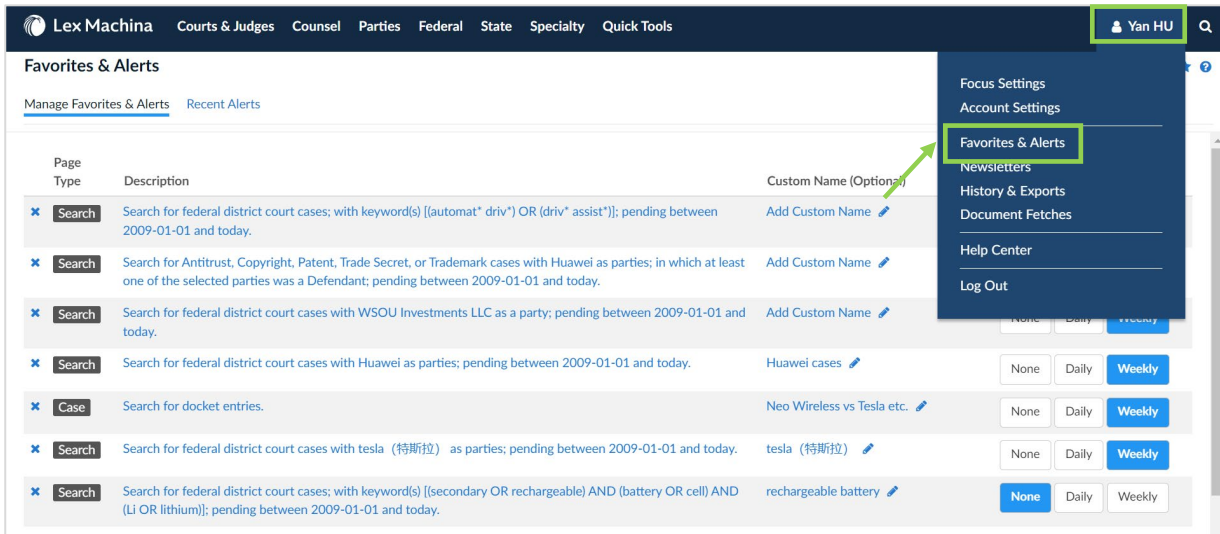
项目名称	说明
None	作为检索式保存功能工作，不作为提醒功能工作。
Daily	每天运行提醒一次，当有新结果时发送邮件。
Weekly	每周运行提醒一次，当有新结果时发送邮件。

可以在“Favorites & Alerts”页面中查看设置好的提醒一览表。详细请见“2. 编辑提醒和查看提醒历史记录”。



## 2. 编辑提醒和查看提醒历史记录

点击页面右上方的人形图标后，显示“Favorites & Alerts”菜单。能够在该页面中管理所设置的提醒。另外，Lex Machina自动保存最近20件提醒的历史记录，因此即使删除了提醒邮件也可在此查看。



### (1) 提醒的编辑

访问“Manage Favorites & Alerts”页面。可以删除提醒、变更提醒名称、变更执行频率。



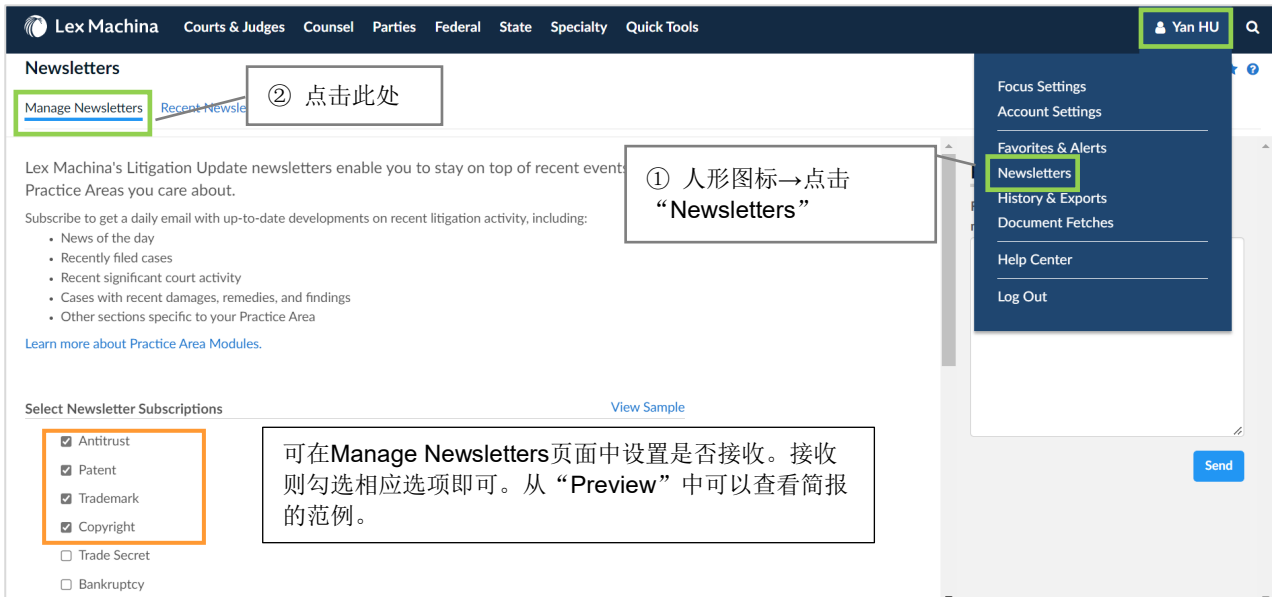
### (2) 提醒历史记录的检查

访问“Recent Alerts”页面。点击画面左侧的提醒执行日期后，显示相关提醒内容。

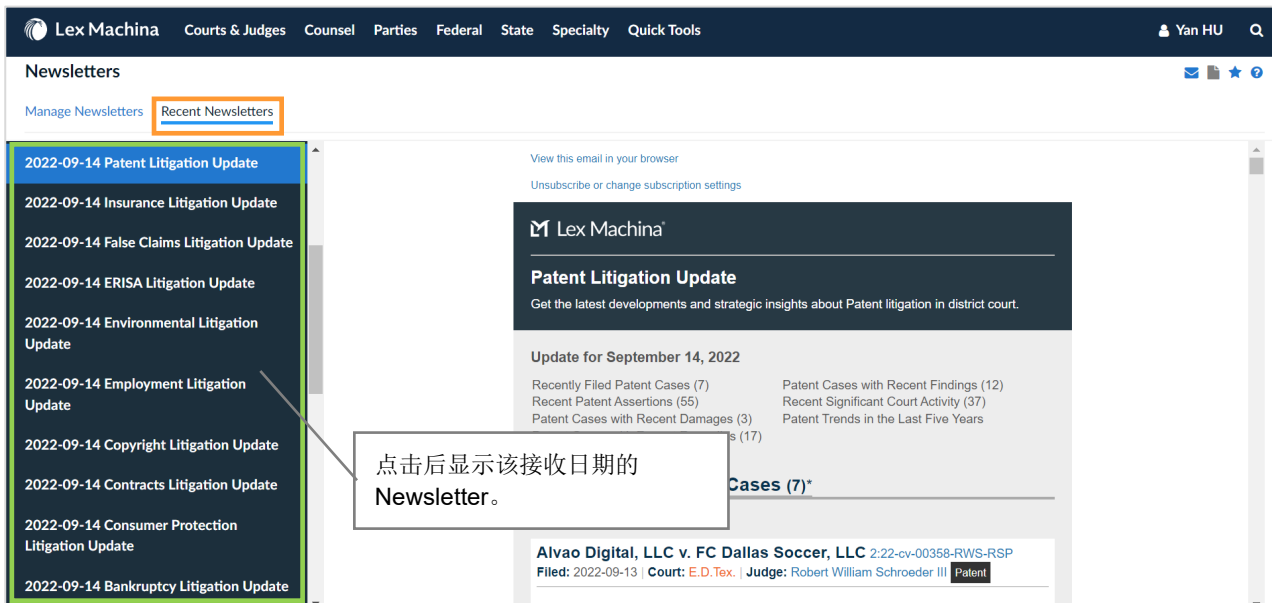


## VII. 美国诉讼简报

能够向您登记的邮箱发送指定类型诉讼信息的简报，比如专利诉讼简报。与提醒不同，简报将提供更为广泛的最新美国诉讼资讯。



可以从“Recent Newsletters”查看最近的Newsletter。




项目	说明
Patent News of the Day	当日专利诉讼新闻
Recently Filed Patent Cases	近期的专利诉讼案件列表
Recent Patent Assertions	近期涉诉专利列表
Patent Cases with Recent Damages	近期获得赔偿金的专利诉讼案件列表

Patent Cases with Recent Remedies	近期获得救济的专利诉讼案件列表
Patent Cases with Recent Findings	近期获得审判结果的诉讼案件列表
Recent Significant Court Activity	近期的重要法院决定
Patent Trends in the Last Five Years	过去5年的专利诉讼趋势 Summary Analytics / Timing Analytics / Law Firms Analytics / Case Resolutions Analytics / Damages Analytics / Patent Findings Analytics

## VIII. 页面的导出和共享

### 1. 导出页面


点击页面右上方的  后，可导出当前页面显示的内容。EXCEL 文件格式导出当前的案件列表，而 PDF 文件导出当前的分析页面。

① 点击

② 点击想要导出的电子文件

**【注意事项】**  
根据所显示页面的种类不同，可导出的文件形式也有所不同。有时仅可导出 PDF 文件。

### 2. 页面共享

点击页面右上方的  后，可以与他人共享当前显示的页面。也可与不拥有 Lex Machina 用户 ID 的人共享该页面。

① 点击

② 输入邮箱地址。

③ 点击

→从Lex Machina向共享成员发送邮件。

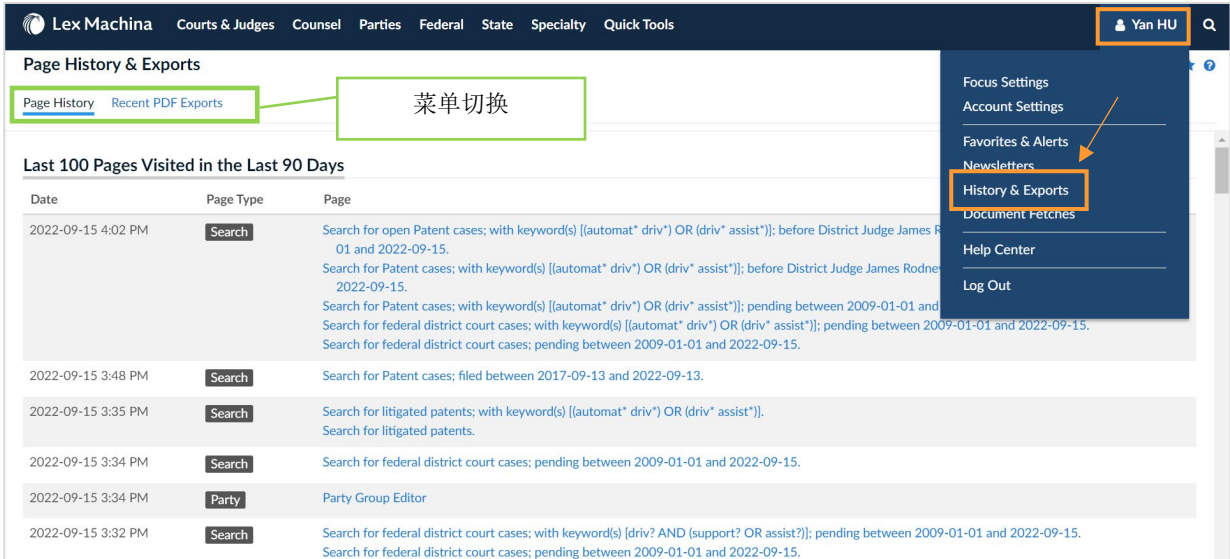


## IX. 记录管理及帮助

### 1. 历史及导出

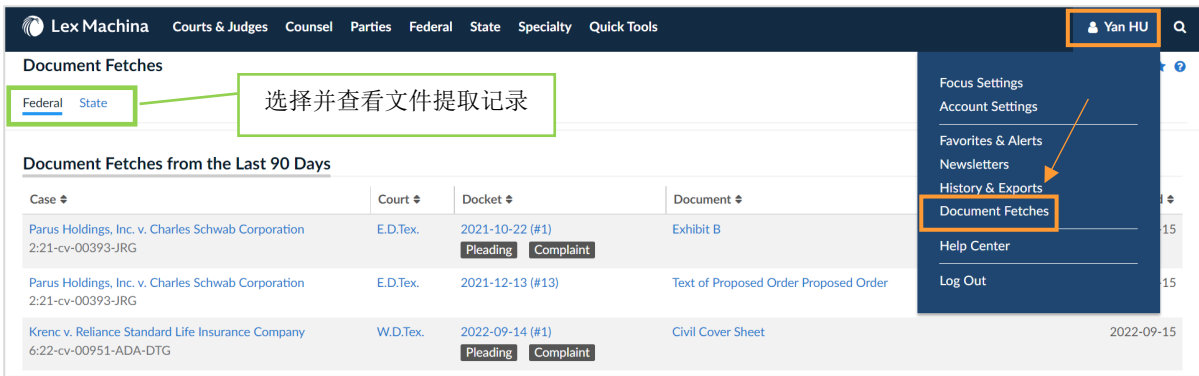
点击页面右上方的人形图标 → History & Exports后，可以查看页面浏览和PDF导出的历史记录。

菜单	说明
Page History	最近90天、最近100页的页面搜索记录
Recent PDF Exports	最近90天PDF导出记录



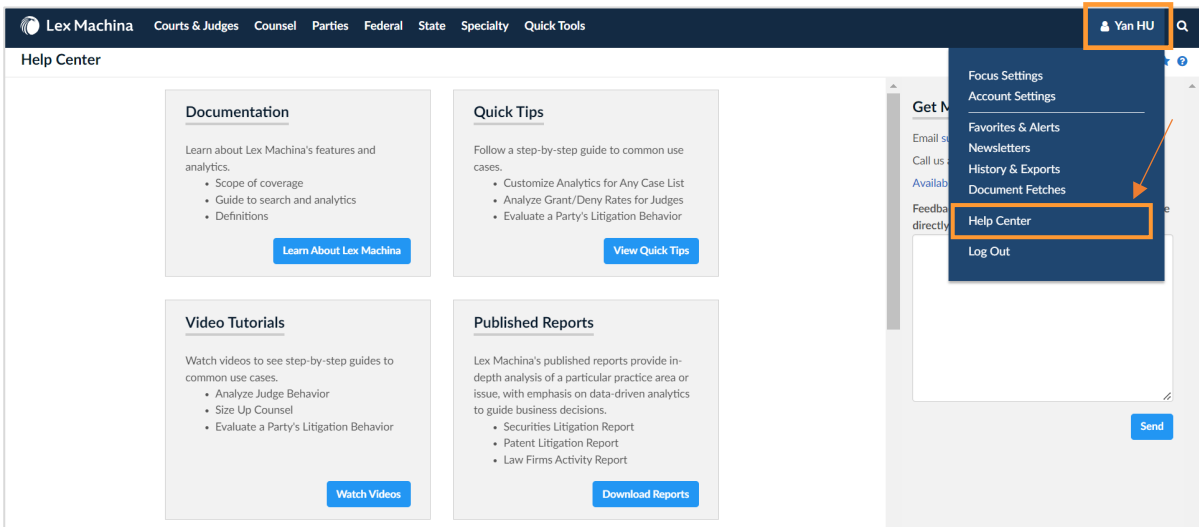
### 2. 文件提取

点击页面右上方的人形图标 → Document Fetches后，可以查看最近90天Federal和State的文件提取记录。



### 3. 帮助页面

点击页面右上方的人形图标，在菜单中选择Help Center进入帮助页面。

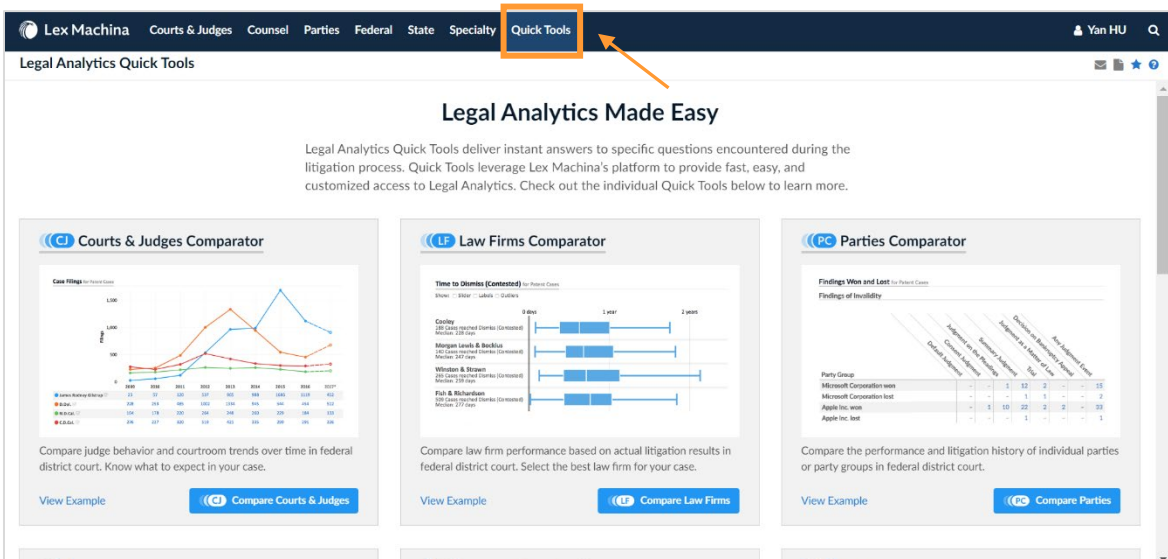


另外，Lex Machina的公司主页中也刊载关于产品或诉讼分析的各种资料和视频。敬请利用。

<https://lexmachina.com/resources/>

### X. Quick Tools (快捷工具)

Lex Machina 提供多个快捷工具，可根据分析需要有效利用。



用于诉讼分析的便捷应用模块	说明
Courts & Judges Comparator	可对指定的法院、法官就诉讼件数、诉讼时间统计、诉讼结果、赔偿金等进行比较。
Law Firms Comparator	可对指定的律师事务所就诉讼件数、诉讼时间统计、诉讼结果等进行比较。
Parties Comparator	可对指定的当事人（或当事人集团）就诉讼件数、诉讼时间统计、诉讼结果等进行比较。
Motion Kickstarter	针对指定的动议类型，获得指定法官最新的拒绝和同意该类型动议的最近10个案件。
Attorney Team Analyzer	可以查看指定的多名律师作为团队负责过的诉讼案件进行分析，查看律师团队的诉讼历史和表现。
Early Case Assessor	原告企业及其律师事务所的诉讼历史记录，涉诉专利的既往诉讼记录和负责的律师事务所记录、以及相对方的律师事务所记录。便于被诉时快速进行风险评估或了解类似案件，以及选定律师事务所。
Patent Portfolio Evaluator	对指定的专利号（支持多个）生成 <b>Patent Portfolio Report</b> ，查看有无诉讼、PTAB无效请求及其具体内容。参见IV. 2. 基于多个专利号的分析（第25页）。
Expert Witness Explorer	探索产品责任及侵权案件中关于专家证人证词和报告可采性的裁决。
COVID-19 Impact Analyzer	分析COVID-19对联邦地区法院系统产生的影响。